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STATE OF ILLINOIS

Rules and Regulations of Harness Racing

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ILLINOIS RACING BOARD

ALEXANDER MacARTHUR
Chairman

Rules and Regulations of Harness Racing 1972

State of Illinois
RICHARD B. OGILVIE, *Governor*

ILLINOIS RACING BOARD

ALEXANDER MacARTHUR, *Chairman*

MEMBERS

Gerald F. Fitzgerald
Joseph A. Lamendella
Edward Justice Long
Walter S. Rhodes
Herman A. Stamer

Stanley K. Stewart, *Racing Secretary*

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RULE 1
ILLINOIS RACING BOARD

1.01 All Races Governed By Rules And Regulations—
All races shall be conducted only under the Rules and Regulations of the Illinois Racing Board.

1.02 Situations Not Provided For In The Rules—
Any situation not covered by the rules of this board shall be referred to the board for disposition.

1.03 Board Approval Of Officials—
All officials must be approved by the board.

1.04 Removal Of Officials—
Any officials approved by the board may be removed by the board at its discretion. The board shall also request the United States Trotting Association to revoke the licenses of said officials.

1.05 Full Access To Track—
The board, its secretary, representatives, officials and employees shall at all times have full access to the course, plant and grounds, including the judges' stand and the pari-mutuel department.

1.06 Inspection Of Horsemen's Tack—
The board or the state steward shall have the right to authorize a person or persons to enter any place within the track enclosure or other places where horses are kept that are eligible to race at the current meetings to inspect and examine the personal effects, or property within such places of every trainer, driver, stable foreman, groom, attendant, authorized agent and veterinarian, or any of them.

1.07 Request For Board Action—
Any application or request for board action by any race track operator or by any association representing any licensee of the Illinois Racing Board (such as horsemen, owners, trainers, drivers, etc.) will not be heard by said board:

A. Unless same is submitted to said board in writing at least 10 days before the date of the meeting at which said application or request is to be submitted or heard, and also

B. Unless a copy of said application or request is sent (at least 10 days before the date of the meeting at which said application or request is to be submitted or heard) to the following persons:

1. Each of the race track operators licensed by the board; and
2. Each of the associations representing licensees of said board; and

3. The Attorney General of the State of Illinois.

C. Service may be personal or by mail.

D. The board may, in its discretion, where it finds an emergency exists and that said emergency could not have been anticipated, permit applications or requests to be submitted or heard at any time said board deems necessary or advisable.

E. The burden of proof that an emergency exists and that it could not have been anticipated shall be on the applicant, and in writing.

F. This rule shall not apply to original applications to conduct harness racing meets. It does apply to any application or request dealing with:

1. Additional or less number of races;
2. Additional or less racing days or dates;
3. Changes in hours or days of racing;
4. Changes in places of racing; and
5. Any other changes in or from any order of the board relative to the issuance of licenses or allotment of racing dates.

RULE 2
LICENSING

2.01 License To Participate—

No person shall participate in any harness meet as owner, driver, trainer, agent, stable foreman, veterinarian, vet's helper, race track operator employee, blacksmith, horseshoer, groom, etc., unless such person shall have received a permit from the board.

2.02 Application For License—

Application for license shall be in writing, accompanied by fingerprints and photographs of the applicant, and shall be in such form, and contain such other information as the board may require.

2.03 License Fee—

An annual fee of $10. shall be paid to the board by each applicant for a license. A partnership license may be had for the same $10. fee, but there is a limit of 10 partners to a license.

Applicants for groom, vet's helper, race track operator employee, concession employee and security employee licenses shall pay to the board an annual fee of $2.

The permit fee shall be paid at the time of the filing of the application. No application for a permit shall be received by the board unless accompanied by such permit fee.

2.04 Applicant Assent And Agreement—

Every such form or application blank shall have plainly printed at the bottom thereof, the following assent and agreement, which must be signed by the applicant in person:

I hereby certify that I have read the foregoing application and affirm that every statement contained therein is true and correctly set forth, and I do hereby agree as a condition precedent to the receiving of said license that the same may at any time be summarily suspended or revoked, cancelled or withdrawn by the board, and said license may be revoked at any time for misstatements or omissions in the foregoing application.

(Signature of Applicant)

2.05 License Revocable—

Every such license shall have plainly written upon it the following condition: "This license is revocable at the pleasure of the Illinois Racing Board without notice."

2.06 Power To Deny License—

If the board shall find that the experience, character and general fitness of

the applicant are such that the participation of such person in harness meets will not be consistent with the best interests of racing generally in conformity with the purposes of the Illinois Harness Racing Act, it may thereupon deny a license.

2.07 Reasons For Denial Of License—

No license shall be issued by the board to any person:

A. Who owns, operates, or has any interest in any bookmaking, pool selling or other illegal enterprises, or who is connected with or associated with any persons engaged in bookmaking, pool selling or other illegal enterprises. If connection has ceased with such an occupation for a sufficiently long period of time, the board may, in its discretion, issue a license.

B. Who has been convicted, or found guilty of a felony, except that the board may, in its discretion, grant a license in exceptional cases.

C. Who is unqualified, by experience or otherwise, to perform the duties required by such applicant, or who fails to pass the standard examination prescribed by the stewards.

D. Who has been or is habitually intoxicated within the confines of a race track.

E. Who fails to disclose the true ownership or interest in any and all horses as required by said application.

F. Who makes misrepresentations or false statements in his application for a license.

G. Who is engaged in any activity or practices that are undesirable or detrimental to the best interest of the public and the sport of racing.

H. Who has not submitted a legible set of fingerprints to a racing board within the four years immediately preceding the date of the application.

2.08 Unfit For License—

If at the time of making said application, the applicant is under suspension, set down, ruled off, or otherwise debarred from racing or training by any recognized racing authority, the board will take this as prima facie evidence that said applicant is unfit to be granted a license, and the burden of proof shall rest upon said applicant, to establish his fitness.

2.09 Possession Of License—

Any participant granted a license duly issued by the board must keep such license in his possession subject to the examination by the board, its officials or officials of the race track operator at any time they may deem necessary or proper.

2.10 Unauthorized Use Of Credentials—

No participant shall permit any unauthorized person or persons to use his badge or credentials for entering into any part of the race track. Any licensee who violates this rule is liable to suspension or a fine not to exceed $200., or both, and if he continues to violate the rule, he may be ruled off or otherwise punished, as the stewards may decide.

2.11 Hearing On License Suspension—

If the stewards at any race meeting shall suspend a license of any horse owner, trainer, driver, groom, stable foreman, veterinarian, etc., the license of said person shall be suspended, pending a hearing of the board.

Said licensee may request a hearing before the board within 5 days after receipt of notice of the action of the stewards, which hearing shall be held within 7 days after such request has been received by the board unless the petitioner or his counsel shall request or agree to the commencement of the hearing at a later date.

A request for hearing shall be in writing and shall specify with sufficient detail the asserted reasons why the stewards ruling or recommendation is alleged to be incorrect, the names of witnesses expected to be called by the petitioner, and the name of his attorney if he chooses to be represented by counsel.

A ruling or recommendation of the stewards shall be presumed correct and the election of a party who is the subject of the same to forego the appeal provided herein during the prescribed time period, may be considered by the board as a waiver of the rights here provided and an admission that said ruling or recommendation is correct and should be affirmed.

2.12 Resident Employment—

In as much as section 16 of the Illinois Harness Racing Act requires that at least 85 per cent of the persons employed by a race track operator on a racing day be United States citizens and residents of Illinois for at least two years prior to their employment, each race track operator at the time of employment of any person (except racing officials) shall require a certificate setting forth residence, signed by each employee, filed and executed in duplicate, one to be kept by the operator and one to be delivered to the Illinois Racing Board within 24 hours of the time of employment.

Each and every question in such certificate shall be fully answered and where no informative answer can be made, the employee shall write in "don't know." The certificate shall be in words and figures as approved and on file with the Illinois Racing Board.

2.13 Additional Examinations—

Any employee shall submit to an additional written or verbal examination

as to his citizenship and resident qualifications and furnish such additional information or proof as may be requested. Such additional examination shall be conducted by the secretary of the board, but in the event his conclusion is that the employee is not a citizen and a permanent resident of the State of Illinois, the employee of the race track operator shall upon written request, addressed to the board, be granted a full and complete hearing by the board upon the question involved. The manager of the department in which said employee is engaged shall attend such hearing.

Racing officials exempted from filing certificates are as follows: three stewards, three placing judges, starter and crew of assistants, clerk of the course, timer, paddock judge, veterinarian attached to the paddock and the racing secretary.

2.14 Reports—

Each race track operator shall compile a report showing all employees (except racing officials) actually at work each day. The report shall state the date, name of employee, department, number and position therein and whether the employee is a resident or citizen of Illinois. The report shall be compiled by each department and if an employee is engaged in two departments, a notation opposite his name shall so state. The reports shall be delivered to the Illinois Racing Board every week.

2.15 Employee Numbers and Departments—

Each employment certificate herein mentioned shall bear an employee's number and this shall be the number placed in front of his name on the weekly reports. The departments shall be divided as follows:

A. General Manager and Office Department (including office help, auditing and bookkeeping department employees.)

B. Racing Secretary's Office (including all employees under the control of the racing secretary and including driver's room employees and valets.)

C. Mutuel Department (including manager of the mutuel department and all employees under his control, including calculators, sheet writers, supervisors, ticket checkers, ricket room, money room, messengers and runners, outbook clerks, program clerks, porters, information and change clerks, approximate board calculator clerks and boardmen (if necessary), miscellaneous assistants, cashiers and sellers.)

D. Track Superintendent's Office (including all track workers, mechanics, porters, janitors, gardeners, watchmen, and employees who are under the control of the track superintendent.)

E. Ticket Seller's Department (including sellers, collectors, stile men, ushers, and miscellaneous employees under the control of the manager of said department.

F. Parking Lot Employees, if not contracted to concessionaire.

G. Publicity Department

H. Miscellaneous Employee's Department (including all employees not designated in any other department, etc.)

2.16 Non-Residents—

Any person who has voted within two years in any state other than Illinois, or who has made representation within two years that he is a citizen or resident of a state other than Illinois shall be considered not a citizen or resident of Illinois.

2.17 Board Decides Citizenship—

The board shall make decision of the citizenship and residence of each employee under the laws of the State of Illinois and the Rules and Regulations of the board which shall be binding upon the employees and race track operator.

2.18 Citizenship Factors—

The board, in determining the question of citizenship and residence, shall consider among other things, the location of the employee's property, place of payment of licenses and taxes and representations made as to residence and citizenship in other states.

2.19 False Statements—

Any employee making any false, untrue or misleading statement with reference to his citizenship or residence in his certificate or additional written or oral examination may be suspended by the Illinois Racing Board or may be ruled off the turf for life by the board and/or may be refused all privileges of the race track under the jurisdiction of the board.

2.20 Absence of Trainers—

When any licensed trainer is absent from a racing meet for more than six days, it shall be the duty of the owner to appoint and have properly licensed a new trainer of record.

RULE 3
VIOLATIONS

3.01 Violators—

Any person licensed by the Illinois Racing Board or any person violating any of its Rules and Regulations shall be liable to the penalties herein provided, unless otherwise limited in the Rules and Regulations of the board.

3.02 Penalties—

Possible penalties for violation of the Rules and Regulations of the board are as follows:

A. Denial, revocation or suspension of license

B. Monetary fines not exceeding $5,000 for each violation

C. Suspension from one or more activities at one or more tracks

D. Expulsion from pari-mutuel harness racing in Illinois

E. Forfeiture or return of purses won

3.03 Attempt at Violation—

Any attempt to violate any of the Rules and Regulations falling short of actual accomplishment shall constitute an offense and shall be punishable as herein provided, as if consummated.

3.04 Who May Impose Penalties—

Penalties for violation of any rule of the board may be imposed by the board, state stewards or judges presiding at each licensed harness race meeting.

3.05 Payment of Fines—

Payment of a fine directly or indirectly by a person other than the person upon whom it is imposed is prohibited and will be unacceptable to the board.

3.06 Unpaid Fines—

All persons who shall have been fined under these rules shall be suspended until said fine is paid in full.

3.07 Appeal on Fines—

Any monetary fine imposed under these rules may be appealed to the board in the same manner as set forth in rule 2.11.

3.08 Financial Responsibility—

Any participant who shall accumulate unpaid obligations, or default in obligations, or issue drafts or checks that are dishonored, or payment refused, or otherwise display financial irresponsibility reflecting on his experience, character or general fitness shall be subject to refusal, suspension or revocation of license.

RULE 4
DEFINITIONS

4.01 Rules Shall Apply—

The following rules of racing apply to all persons, associations or corporations that shall hold, conduct, or participate in any meeting licensed by the Illinois Racing Board where horse racing shall be held for any stake, purse or reward and the definitions here given are to be considered in connection with the Rules and Regulations of racing and as part of them.

4.02 Added Money Early Closing Event—

An event closing in the same year in which it is to be contested in which all entrance fees received are added to the purse.

4.03 Age, How Reckoned—

The age of a horse shall be reckoned from the first day of January of the year of foaling. Age for foals born in November and December of any year shall be reckoned from January 1 of the succeeding year.

4.04 Also Eligible—

Horse officially entered, but not permitted to start unless the field is reduced by scratches below a specified number.

4.05 Appeal—

A request for the board to investigate, consider and review any decisions or rulings of judges or officials of a meeting or the decisions of the board itself. The appeal may deal with the conduct of races, licensing, decisions of racing officials or the Illinois Racing Board.

4.06 Board—

Whenever the word "board" is used, it refers to the "Illinois Racing Board."

4.07 Breakage—

In pari-mutuel betting, Illinois tracks pay-off to the dime on a dollar. The pay-off, for example, on $6.89 would be $6.80. In the event of an anticipated minus pool, the track can break at a nickel on the dollar. The breakage is split between the track and the state.

4.08 Breeder—

The breeder of a horse is the owner of his dam at the time of foaling.

4.09 Claiming Race—

One in which any horse starting therein may be claimed for the price entered in conformance with the Rules and Regulations.

4.10 Conditioned Race—

An overnight event to which eligibility is governed by previously specified qualifications.

4.11 Declaration—

Naming of a horse to a particular race as a starter.

4.12 Disqualification—

The person disqualified is debarred from acting as an official or from starting or driving a horse in a race, or in the case of a disqualified horse, it shall not be allowed to start or shall otherwise be punished, or shall not maintain its finishing position for betting and purse purposes.

4.13 Early Closing Race—

A race for a definite amount to which entries close at least six weeks preceding the race. The entrance fee may be on the installment plan or otherwise, and all payments are forfeits.

4.14 Entry or Coupling—

When starters in a race include two or more horses owned or trained by the same person, or trained in the same stable or by the same management, they shall be coupled as an "entry," with no exceptions and a wager on one horse in the "entry" shall be a wager on all horses in the "entry." If a race is split in two or more divisions, horses in an "entry" shall be seeded in separate divisions, but the divisions in which they compete and their post positions shall be drawn by lot.

4.15 Expulsion—

Unconditional exclusion and disqualification from any participation in racing, either directly or indirectly, or in the privileges and uses of the course and grounds of a race track operator.

4.16 Extended Pari-Mutuel Meetings—

An extended pari-mutuel meeting is a meeting or meetings, at which no agricultural fair is in progress, with an annual total of more than 10 days duration with pari-mutuel wagering.

4.17 Futurity—

A stake in which the dam of the competing animal is nominated either when in foal or during the year of foaling.

4.18 Guaranteed Stake—

Same as a stake, with a guarantee by the party opening it that the sum shall not be less than the amount named.

4.19 Handicap—

A race in which performance, sex or distance allowance is made. Post positions for a handicap may be assigned by the racing secretary.

4.20 Horse—

In the general sense, as implied in the Rules and Regulations, the term "horse" includes a stallion, mare, gelding, colt or filly.

4.21 Illinois-Bred Colt Or Filly—

Sired by a stallion owned by an Illinois resident and standing for the season in which the mare was bred in the State of Illinois.

4.22 Illinois Owned—

Owned by a resident of Illinois at the time the horse is declared in to start and at the time of the race.

4.23 In Harness—

When a race is made to go "in harness" it shall be construed to mean that the performance shall be to a sulky.

4.24 Interference—

Any act, which by design or otherwise, and regardless of actual contact, hampers or obstructs any competing horse or horses.

4.25 Late Closing Race—

A race for a fixed amount to which entries close less than six weeks and more than three days before the race is to be contested.

4.26 Length of Race—

Races shall be given at a stated distance in units not shorter than a sixteenth of a mile.

4.27 Maiden—

A horse that has never won a race for a purse at the gait at which it is entered to start.

4.28 Matinee Race—

A race with no entrance fee and where the premiums, if any, are other than money.

4.29 Minus Pool—

Situation that can develop in pari-mutuel betting when so much money is bet on one horse that the balance of the pool is insufficient to pay off as required by state law. The track then makes up the deficit.

4.30 Nomination—

The naming of a horse or in the event of a futurity, the naming of a foal in utero to a certain race or series of races, eligibility of which is conditioned on the payment of a fee at the time of naming and the payment of subsequent sustaining fees and/or starting fees.

4.31 Overnight Event—

A race for which entries close not more than three days (omitting Sundays) or less before such race is to be contested.

4.32 Owner—

Includes the owner, part owner, or lessee of a horse or horses.

4.33 Protest—

An objection, properly sworn to, charging that a horse is ineligible to race, alleging improper entry or citing any act of owner, driver, caretaker or official prohibited by the Rules and Regulations, and which, if true, would exclude the horse or driver from the race.

4.34 Record—

The fastest time made by a horse in a race that he won or in a performance against time.

4.35 Scoring—

Preliminary practice starts taken by the horses in a race, after the post parade and before they are called to line up for the start by the starter.

4.36 Stake—

A race which will be contested in a year subsequent to its closing in which the money given by the track conducting the same is added to the money contributed by the nominators, all of which except deductions for the cost of promotion, breeders or nominators awards belongs to the winner or winners. In any event, all of the money contributed in nominating, sustaining and starting payments must be paid to the winner or winners.

4.37 Suspension—

Unconditional exclusion and disqualification from the time of receipt of written notice of suspension from privileges and uses of the course and grounds of a track during the progress of a race meeting, unless otherwise specifically limited when such suspension is imposed, such as a suspension from driving. A suspension or expulsion of either a husband or wife shall apply in each instance to both the husband and wife. The suspension becomes effective when notice is given unless otherwise specified.

4.38 Take—

The commission deducted from mutuel pools which is shared by the track and state.

4.39 Walkover—

When only one horse or horses in the same interest start and claim the purse by going once over the course. In a stake race, a walkover is entitled to all the stake money and forfeits unless otherwise provided in the published conditions.

4.40 Winner—

The horse whose nose reaches the wire first. If there is a dead heat for first, both horses shall be considered winners.

4.41 Wire—

The wire is a real or imaginary line from the center of the judges' stand to a point immediately across, and at right angles to, the track.

RULE 5
RACE TRACK OPERATORS AND THEIR DUTIES

5.01 Definition Of Race Track Operator—

The term "operator" means an individual, firm, racing association, partnership, corporation, trustee or legal representative, licensed to conduct harness race meets under the provisions of the Illinois Harness Racing Act.

5.02 Application—

Application for licenses to conduct harness racing meets shall be made on forms furnished by the board. Such applications shall be verified under oath. If circumstances change or events occur after the application is filed so that the application no longer presents the correct facts, the applicant shall submit an amended answer to the question of the application form so affected.

5.03 Time For Filing Applications—

All applications for licenses to conduct race meetings for each calendar year shall be filed with the board prior to November 1 of any year. The board may receive applications at a subsequent date if unusual need can be proven to the board's satisfaction.

When the board approves an application and notifies said applicant of its approval, that applicant shall within 10 days after receipt of the written notice of approval deliver to the board the total per diem fee for the entire race meeting and file with the board and bond required by section 11 of the Illinois Harness Racing Act.

Failure to comply with the 10 day time limit shall cause the application for license to be considered withdrawn and of no effect.

5.04 Conditions Of License—

Imposed on each race track operator is the duty of enforcing the Rules and Regulations imposed by the board under which the licenses are granted, the board reserving the right to amend, alter or repeal any rule, regulation or condition herein imposed or to supplement said Rules and Regulations by giving the race track operator three days' notice, except where shorter notice is herein otherwise provided.

5.05 Written Disclosure—

Prior to October 1 of any year, all officers and directors of every association, corporation or other business entity desiring to hold or conduct a race meeting within the State of Illinois during any calendar year shall make a written disclosure to the board on forms prescribed by same for approval of their participation in racing in the State of Illinois. Said forms shall be submitted under oath as prescribed for in the form.

5.06 Notice Of Changes—

The board shall be notified within 10 days of election of any new officer or director of a race track operator and the identity of every person who shall acquire five per cent or more of an applicant's equity or interest. Each new officer, director, or substantial owner shall furnish all information requested by the board to facilitate approval or supervision of their participation in racing in Illinois.

5.07 Political Contributions—

It is prohibited for any race track operator, or any officer, or director, or shareholder of over five per cent of corporate stock of said race track operator, or employee, agent or representative of such race track operator, to become liable for, pay, or make any contribution directly or indirectly toward the campaign funds or expenses of any political party, or candidate for public office or nomination for public office in this state, or to pay or give any money, stock or other thing of value to any elected or appointed official or state employee. Violation of this rule shall be grounds for revocation or suspension of license, revocation or denial of racing dates, or any other appropriate remedy.

5.08 Termination of License—

Racing dates and the license to conduct a horse race meeting are deemed personal in nature and non-transferable, and will terminate upon a substantial change of ownership of the race track operator, unless the board has granted prior approval. The sale or transfer of 25 per cent or more of the equity of a race track operator shall be considered a substantial change of ownership.

5.09 Wagering On Races Conducted Off Of Premises—

No race track operator conducting a race meeting under a license issued by the board shall permit bets to be made on the grounds of said race track operator on any race held outside of the grounds, and no foreign book or gambling device of any kind shall be permitted on said grounds.

5.10 Reciprocal Suspensions—

No race track operator shall permit any person who is under suspension by, or has been ruled off the member courses of the United States Trotting Association, or by any state racing commission, to participate in any manner in a harness racing meet licensed by the board, or in the conduct of such meet.

5.11 Horse Ambulance—

There shall be a horse ambulance at all courses under the jurisdiction of the Illinois Racing Board for the removal of crippled animals from the track. Horse ambulances must be equipped with a screen for use when an

animal must be destroyed in view of the general public and also a winch to lift dead or injured animals on to the ambulance. Said ambulance shall also be equipped with a locked box containing drugs strictly for the use of the state veterinarian when emergency medication is mandatory.

5.12 Ambulance For Racing Strip—

The race track operator shall furnish a manned ambulance each day that the main track is open for racing or exercising horses, equipped, ready for immediate duty, and to be placed at the entrance of the racing strip, which is at no time obstructed by people, vehicles, or equipment, so that no time may be lost in times of emergency.

5.13 First Aid Station—

The race track operator shall maintain a first aid station or examining room where ambulatory patients may present themselves for diagnosis and treatment.

5.14 Track Physician and Nurse—

All race track operators, during the period within which they are conducting a race meeting, shall furnish a licensed physician each day that their tracks may be opened for racing; shall furnish a registered nurse each day that their tracks may be opened for racing or exercising horses; such physician or registered nurse to render medical services or treatment to all horsemen, attendants, grooms, or other persons lawfully employed or licensed at such meetings without charge to such patients.

5.15 Illinois Racing Board Office—

Each race track operator shall provide within its grounds an office for the use of the members of the Illinois Racing Board or any of its representatives, that meets with the approval of said persons.

5.16 State Steward's Secretary—

The race track operator shall provide an experienced stenographic secretary who shall be under the direct supervision of the state steward.

5.17 Moving Office—

It shall be the responsibility of the receiving track at all extended parimutuel meetings to furnish a truck and crew for the purpose of loading and hauling Illinois Racing Board equipment, supplies and materials from the closing track to the opening track. The state steward and the license supervisor shall designate the time the moving shall take place.

5.18 Judges' Stand—

The judges' stand shall be so located and constructed as to afford to the officials an unobstructed view of the entire track and no obstruction shall be permitted upon the track, or the centerfield which shall obscure the of-

ficials' vision of any portion of the track during the race. Any violation of this section shall subject the track to a fine not exceeding $500. and immediate suspension of license.

5.19 Drivers' Bench—

Every race track operator conducting pari-mutuel betting shall provide a bench, located conveniently to the gate through which horses enter the track at the beginning of a race, for drivers who are not competing in a particular race and who are wearing colors.

5.20 Stabling of Horses—

Any horse racing at a licensed meeting must be stabled within the confines of that track; provided, however, in case of necessity such a horse may be stabled within the confines of an adjacent Illinois race track, or in another location approved by the board. The race track operator holding the race meeting shall provide for temporary stabling of horses, eligible to race, which are brought to the races from approved outside stable space.

5.21 Stable Space For Illinois Owned Horses—

Consistent with the policy of the board to encourage the breeding of Illinois horses for racing, the race track operator shall make special efforts to furnish stable room to resident Illinois owners.

5.22 Stall Numbers and Distance Poles—

Race track operators shall number each stall where horses are quartered and all distance poles shall be of standard color designations, namely:

Quarter poles — red and white
Eighth poles — green and white
Sixteenth poles — black and white

5.23 Licensed Outrider—

The race track operator shall furnish a licensed outrider who is to be on duty and suitably mounted each day during racing hours. The outrider must display excellent riding ability. Among his duties shall be:

A. Leading tough horses
B. Holding a horse during an equipment change before a race.
C. Controling the horses until they reach the gate.
D. Catching the horses if they stray at the gate or after an accident.
E. Detour other horses away from an accident.

5.24 Drinking Fountains and Rest Rooms—

The race track operator shall furnish an adequate number of free drinking fountains, comfort stations, and wash rooms throughout its grounds and buildings for the use of the public. Adequate facilities must also be provided for the backstretch.

5.25 Telephones—

All telephones at the track or in the grounds of the race track operator conducting the meet shall be closed with the opening of the pari-mutuel windows for the first race of the day or evening. No calls shall be allowed to be made or received after the telephones are closed until after the last race has been finished except by officials of the Illinois Racing Board.

5.26 Broadcasting and Telecasting—

Permission shall be first secured in writing from the board before any race track operator may allow the telecast or radio broadcast of any race.

5.27 Pest Control—

The race track operator shall maintain systematic, effective control against flies, mosquitoes, other insects and rats at all times during a meeting. Horses must be stabled in individual box stalls with appropriate feeding and watering facilities. Stables and immediate surrounding areas must be kept in a sanitary condition at all times. Satisfactory drainage must be provided and manure and other refuse must be promptly and properly removed. These regulations apply to any stabling areas that the board has approved for the race track operator. The board or its official representatives will make periodic inspections of a track. Failure to comply with sanitary practices or provide any pest control will result in loss of racing dates.

5.28 Alcohol Sales—

No race track operator shall sell or permit to be sold, on the backstretch or in any other area used by it for stabling of horses, any alcoholic beverages, beer excepted.

5.29 Track Lights—

Lights used to illuminate the track at night shall not be turned on or off while a race is in progress, or off while horses are on the track. The state steward shall declare a race off if total darkness occurs during the running of a race.

The stable area must be properly lighted, so that it will be entirely void of darkness in all sections; lights will be turned on at dusk and they shall remain on until one hour after the last race.

Parking areas must be properly lighted, so they will be entirely void of darkness in all sections; lights will be turned on at dusk and they shall remain on until one hour after the last race or until all cars have departed, whichever may first occur.

If for any reason the races scheduled for the night cannot be fulfilled by midnight, the stewards will postpone the remaining race or races.

5.30 Fire Prevention—

Race track operators shall make adequate provision for fire prevention, protection against fire, and fire suppression within the enclosure. Before any license is granted, the race track operator must gain approval from the board of its fire prevention facilities.

5.31 Program Notice—

All daily programs sold at the race track must have one page containing the following notice:

This race course is licensed for 19_____, License No. _____ by the Illinois Racing Board and operates under its regulations. Notice to include names of board members, racing officials, officers and directors of the race track operator.

RULE 6
RACE OFFICIALS

6.01 State Steward—

The state steward, appointed by the board, shall be the representative of the board at large at all race meetings and principal advisor to the board on harness racing activities.

A. He shall supervise the conduct of the race track operator's officials, of racing, of the pari-mutuel department, of the licensing office and of the detention barn. The state steward shall keep said departments and officials informed about board policy.

B. If necessary, the state steward may act in place of an official or as an additional official should the board determine the need. He shall at all times have access to all parts of the course, plant and grounds.

C. The state steward has authority over all owners, trainers, drivers and other persons attendant on horses.

6.02 Additional Steward—

The board may in its discretion designate one of the officials as its steward at that particular meeting, but such steward shall at all times be junior to the state steward of the board.

6.03 Judges—

In every race or performance against time there shall be a presiding judge and two associate judges, one of whom may be appointed to serve as a roving patrol judge.

6.04 Conflict of Interest—

No official, acting as a judge, shall serve as racing secretary or clerk of the course at such meeting. No race official shall be qualified to act as such at any meeting where he is the owner or otherwise interested in the ownership of any horse participating at such meeting.

A. No state steward or judge shall hold stock or participate in any association, corporation or other business entity holding a race meeting in the State of Illinois.

6.05 Disqualification to Act as Official—

A person under suspension, expulsion, or other disqualification, or who has any interest in a race or has an interest in any of the horses engaged therein, is disqualified from acting in any official capacity in that race. In the event of such disqualification, the management shall be notified by the disqualified person and shall appoint a substitute. Any person who violates this restriction shall be fined, suspended or expelled.

6.06 Removal of Officials—

Any official may be fined, suspended or removed at any time for incompetence, failure to follow or enforce the Rules and Regulations, or any conduct detrimental to the sport, at the discretion of the board.

6.07 Participation Forbidden—

No person whose duties at meetings licensed by the board including classifying horses, supervising saliva and urine examination, officiating, and/or reviewing decisions of the judges shall directly or indirectly be the owner of or have any interest in any horse racing at pari-mutuel harness meetings, nor shall he participate financially directly or indirectly in the purchase or sale of any horse racing at such meetings. Any person violating this rule shall be suspended.

6.08 Judges Stand Occupants—

None but the judges, the clerk of the course, the racing secretary, starter and timer, official announcer, and officials and directors of the board and the USTA shall be allowed to enter the judges' stand during a race. Anyone violating this rule may be fined not to exceed $100.

6.09 Improper Conduct—

An official guilty of improper conduct shall be fined not exceeding $500 and/or be suspended.

A. Any complaint against a racing official or officials shall be made to the stewards in writing, signed by the complainant. All such complaints shall be reported to the board together with the stewards' action.

6.10 Presiding Judge—

The presiding judge shall:

A. Have supervision over associate judges, patrol judges, starters, paddock judge, finish wire judge, clerk of the course, timers and charters.

B. Notify owners and drivers of penalties imposed.

C. Report in writing to the board, with a copy to the USTA, violations of the rules by a track, its officers or race officials, giving detailed information thereof.

D. Make such other reports as required by the board.

E. Sign each sheet of the judges' book, verifying the correctness of the record.

F. Be responsible for the maintenance of the records of the meeting and the forwarding thereof to the board and the USTA.

G. The presiding judge shall direct the administration of body alcohol tests on drivers. Persons registering more than .05 per cent of alcohol in

6.12 Duties of the Judges and State Steward—
It shall be the duty of these officials to:

A. Exclude from the race any horse that in their opinion is improperly equipped, dangerous, or unfit to race, or liable to cause accident or injury to another horse or driver in the race, and which shall include horses that are sick, blind, weak, and extremely lame. No horse shall race with a tube in its throat.

B. Investigate any apparent or possible interference, or other driving violation whether or not complaint has been made by a driver.

C. Investigate any act of cruelty seen by them or reported to them by any person towards a race horse during a meeting at which they officiate. If the judges find that such an act has been committed, they shall suspend or fine the offending person not to exceed $500.

D. Immediately thereafter or on the day of the race, conduct an investigation of any accidents to determine the cause thereof, and the judges shall make all accidents a matter of record in the judges' book and completely fill out an accident report.

G. Order the physical examination of drivers involved in accidents.

H. Action by the state steward and judges in performing their duties shall be reported to the Illinois Racing Board. Where one steward disagrees with the majority, that fact shall be noted in the report and the dissenting steward shall have the right to file with the board a written report setting forth the reason or reasons for the disagreement. The stewards shall keep a minute book, recording therein all complaints made to them and the disposition thereof and all investigations by the stewards and their findings thereon and all rulings made by the stewards.

I. The stewards shall, as soon as possible and not later than seven days after the end of each meeting, make a report in writing, to the board of all infractions of the Rules and Regulations, and all rulings of the stewards upon matters coming before them during such meeting. All rulings and orders of the stewards may in the discretion of the board be reviewed by it and such ruling or order reversed or modified in any way the board may deem proper.

6.13 Procedures of the Judges and State Steward—
It shall be the procedure of these officials to:

A. Be in the stand 15 minutes before the first race and remain in the stand 10 minutes after the last race, and at all times when the horses are upon the track.

B. Observe the preliminary warming up of horses and scoring, noting behavior of horses, lameness, equipment, conduct of drivers, changes in odds at pari-mutuel meetings, and any unusual incidents pertaining to horses or drivers participating in races.

C. Have the bell rung or give other notice at least 10 minutes before the race or heat. Any driver failing to obey this summons may be punished by a fine not exceeding $100. and his horse may be ruled out by the judges and considered drawn.

D. The presiding judge shall designate the post time for each race and the horses will be called at such time as to preclude excessive delay after the completion of two scores.

E. Be in communication with the patrol judge from the time the starter picks up the horses until the finish of the race. Any violation or near violation of the Rules and Regulations shall be reported by the patrol judge witnessing the incident and a written record made. At least one judge shall observe the drivers throughout the stretch specifically noting changing course, interference, improper use of whips, breaks, and failure to contest the race to the finish.

F. Post the objection sign or inquiry sign on the odds board in the case of a complaint or possible rule violation, and immediately notify the announcer of the objection and the horse or horses involved. As soon as the judges have made a decision, the objection sign shall be removed, the correct placing displayed, and the "official" sign flashed. The "official" sign shall not be displayed until all horses and drivers of the race have returned to the judges' stand and saluted, horses and drivers unable to finish the race excepted. In all instances, the judges shall post the order of finish and the "official" sign as soon as they have made their decision.

G. Display the photo sign if the order of finish among the contending horses is less than half-length or a contending horse is on a break at the finish. After the photo has been examined and a decision made, a copy or copies shall be made, checked by the presiding judge, and posted for public inspection.

H. Sign the judges' book after each race, verifying the correctness of the record by the clerk of the course.

6.14 Patrol Judge—

There shall be at least one roving patrol judge and it shall be his duty to ride in the starter car and observe all activity on the race track in his area at all times during the racing program. He shall immediately report to the presiding judge:

A. Any action on the track which could improperly effect the result of a race.

B. Every violation of the racing rules.

C. Every violation of the rules of decorum.

D. The lameness or unfitness of any horse.

E. Any lack of proper racing equipment.

The patrol judge shall furthermore:

A. Be in constant communication with the judges during the course of every race and shall immediately inform the judges of every rule violation, improper or unusual happening which occurs at their station.

B. Submit individual daily reports of observations of the racing to the presiding judge.

C. When directed by the presiding judge, attend hearings or inquiries on violations and testify thereat under oath.

6.15 Temporary Substitutes—

If any licensed official is absent or incapacitated, the state steward may appoint a substitute at such meeting. Notice of such temporary appointment shall be given immediately to the office of this board and wired to the main office of the USTA.

6.16 Starting Judges—

Starting judges shall be designated by the track, subject to the approval of this board.

6.17 Duties of Starter—

The starter shall be in the starting car 15 minutes before the first race. He shall have control over the horses and authority to assess fines and/or suspend drivers for any violation of the rules from the formation of the parade until the word "go" is given. He may assist in placing the horses when requested by the judges to do so. He shall notify the judges and the drivers of penalties imposed by him.

His services shall be paid for by the track employing him. An assistant starter may be employed when necessary.

6.18 Clerk of the Course—

The clerk of the course shall:

A. At request of judges assist in drawing positions.

B. Keep the judges' book and record therein:

1. All horses entered and their eligibility numbers.

2. Names of owners and drivers; drivers' license numbers.
3. A record of each race, giving position of horse at finish.
4. Note drawn or ruled out horses.
5. Record time in minutes, seconds and fifths of seconds.
6. Check eligibility certificate before the race and after the race; shall enter all information provided for thereon.
7. Record all protests, fines, penalties and appeals in the judges' book and see that the book is properly signed.
8. Forward copies of the judges' book to the USTA and this board not later than the next day.
9. Notify owners and drivers of penalties assessed by the officials.

C. Upon request, may assist judges in placing horses.

D. After the race, return the eligibility certificate to the owner of the horse or his representative when requested.

E. Failure to comply with any part of this rule and make the above listed entries legible, clear and accurate, may subject either the clerk or the track, or both, to a fine of not to exceed $50. for each violation.

6.19 Timers—

At each race or performance against time there shall be an electric timing device and one timer. He shall sign the judges' book for each race or performance against time verifying the correctness of the record. All times shall be announced and recorded in fifths of seconds. An approved electronic timing device must be used where horses are started from a chute.

A. The timer shall be in the stand 15 minutes before the first heat or dash is to be contested. He shall start his watch when the first horse leaves the point from which the distance of the race is measured and shall stop his watch when a winner reaches the wire. The time of the leading horse at the quarter, half, three-quarters, and the finish shall be taken. If odd distances are raced, the fractions shall be noted accordingly.

6.20 Paddock Judge—

Under the direction and supervision of the presiding judge, the paddock judge will have complete charge of all paddock activities. The paddock judge is responsible for:

A. Getting the fields on the track for post parades in accordance with the schedule given to him by the presiding judge.

B. Inspection of horses for changes in equipment, broken or faulty equipment, head numbers, etc.

C. Supervision of paddock gate men.

D. Proper check in and check out of horses and drivers.

E. Direction of the activities of the paddock blacksmith.

F. The paddock judge will immediately notify the presiding judge of anything that could in any way change, delay or otherwise affect the racing program.

G. The paddock judge shall see that only properly authorized persons are permitted in the paddock and any violation of this rule may result in fine, suspension or expulsion.

H. Inspect and supervise the maintenance of all emergency equipment kept in the paddock.

I. Notify judges of the reason for any horse returning to the paddock after having entered the track for the post parade and before the start of the race.

J. Notify judges of all trainers and grooms who leave the paddock in an emergency.

K. The paddock judge shall administer body alcohol tests at the order of the presiding judge. He shall report all positive tests to the presiding judge and the state steward immediately by telephone and confirm this report in writing.

6.21 Program Director—

Each track shall designate a program director. Such program director and all charters shall be subject to the approval of this board. It shall be the responsibility of the program director to furnish the public complete and accurate past performance information as required by rule 7.02.

6.22 Horse Identifier—

The identification of horses coming into the paddock shall be made by the horse identifier who shall report any irregularities to the paddock judge and stewards.

6.23 State Veterinarian—

The state veterinarian shall report to the state steward all examinations or inspections of any horse or premises under the jurisdiction of the board.

A. He shall maintain a veterinarian's list and any horse that is ill, infirm or extremely lame shall be placed on said list. Any horse placed on said list shall not be permitted to enter in a race until his name is removed from the list.

6.24 Bribes Forbidden—

No racing official or his assistant shall accept, directly or indirectly, any

gratuity, reward or favor in connection with racing at the meeting.

6.25 Drinking of Intoxicating Liquor Prohibited—

Drinking of intoxicating liquor, by any racing official, track employee, or licensee, while on duty, is prohibited. Any person violating this rule shall be relieved of his duties by the stewards.

6.26 Arrival Time—

All judges and employees of the board shall report to the office of the board at least two hours prior to the post time of the first race of the day or evening.

RULE 7
IDENTIFICATION OF HORSES

7.01 Bonafide Owner or Lessee—

A horse must race in the name of the bonafide owner or lessee. Nominators shall learn the facts of ownership about all horses in their care before making registration. Persons violating this rule may be fined, suspended or expelled.

No horse shall be permitted to start that has not been fully identified to the satisfaction of the board or its representatives and the USTA. Attempts to interfere with the identification or examination of any horse shall be regarded as fraud or attempt at fraud and the same penalties shall apply.

7.02 Program Information—

A printed program shall be available to the public at all meetings where purses are offered. All programs shall furnish the horse's name, sex, color and age; sire and dam; owner's name and trainer's name; driver's name and colors, and type of race.

At extended pari-mutuel meetings the following additional information will be furnished:

A. Six performance lines of the current year if the horse has started six times at that gait.

B. Indicate drivers racing with a provisional license.

C. Indicate pacers that are racing without hopples.

D. Summary of starts in purse races, earnings, and best win time for current and preceding year. A horse's best win time may be earned in either a purse or non-purse race; however, it may not be earned in a time trial.

E. Qualifying races may be shown in the same manner as workouts.

F. Accurate performance or chart lines shall include: date of race; place; size of the track if other than a half-mile track; symbol for free-legged pacers; track condition; type of race; distance; the fractional times of the leading horse including race time; post position; position at one-quarter; one-half; three-quarters; stretch with lengths behind leader; finish with lengths behind leader; individual time of the horse; closing dollar odds, name of the driver; names of the horses placed first, second and third by the judges. The standard symbols for "breaks" and "park-outs" shall be used where applicable.

7.03 Failure to Furnish Reliable Program Information—

Failure to furnish reliable program information may subject the track and/or program director to a fine not to exceed $500. and/or suspension

until arrangements are made to provide reliable program information.

7.04 Inaccurate Information—

Owners, drivers or others found guilty of providing inaccurate information on a horse's performance, or of attempting to have misleading information given on a program may be fined, suspended or expelled.

7.05 Check on Identity of Horse—

Any track official, representative of the board, representative of the USTA, owner or driver may call for information concerning the identity and eligibility of any horse on the grounds of a track and may demand an opportunity to publicly examine such horse or his eligibility certificate with a view to establish his identity or eligibility. If the owner or party representing such a horse refuses to give information, allow an examination, or fails to give satisfactory identification, the horse and the owner or party may be debarred, suspended or expelled.

7.06 False Chart Lines—

Any official, clerk, or person who enters a chart line on an eligibility certificate when the race has not been charted by a licensed charter may be fined, suspended or expelled.

7.07 Frivolous Demands—

Any person demanding the identification of a horse without cause or merely with the intent to embarrass a race, shall be punished by a fine not exceeding $100. or by suspension or expulsion.

7.08 Lip Tatooing—

No horse will be permitted to start at an extended pari-mutuel meeting unless he has been tatooed on his lip with his identification number. The stewards may allow a horse to race once without a tatoo. Thereafter, the horse must be tatooed or the stewards must be shown evidence that arrangements have been made to have the horse tatooed. Such horse may then race if the stewards are satisfied with the arrangements for having the horse tatooed.

7.09 Changes in Ownership—

Any change in ownership of a horse or horses or interest therein shall be immediately filed with the USTA and the racing secretary.

RULE 8
RACING, FARM, CORPORATE OR STABLE NAME

8.01 Racing, Farm, Corporate or Stable Name—
Racing, farm, corporate or stable names may be used by owners or lessees if registered with the USTA.

A. All owners and persons listed in a registered stable, whether incorporated or not, shall be liable for entry fees and penalties against horses raced by the stable. In the event that one of the owners or persons listed in a registered stable is suspended, all the horses shall be included.

8.02 Stable Name and Partnership Limitations—
Any horse that is owned by more than one person, or one partnership, must be stabled in one stable and registered only under its own stable name with appropriate fees paid.

A. No more than 10 persons may be partners in the ownership of one horse.

RULE 9
ELIGIBILITY AND QUALIFICATION FOR RACES

9.01 Eligibility Certificate—

No horse shall be declared in without first possessing a current USTA or CTA (Canadian Trotting Association) eligibility certificate at the gait the horse is declared to race. Horse owners violating this rule shall be fined $10. The track shall be fined $5. for accepting a declaration without an eligibility certificate.

Telegraphic declarations may be sent and accepted without penalty provided the declarer furnishes adequate program information. The eligibility certificate, however, must be presented when the horse arrives at the track and before he races.

9.02 Registration—

All matters relating to registration of standardbred horses shall be governed by the rules of the USTA.

9.03 Leased Horses—

Any horse under lease must race in the name of the lessee. No eligibility certificate will be issued to a horse under lease unless a copy of the lease is filed with the USTA. Persons violating this rule may be fined, suspended or expelled.

9.04 Sale or Lease During Current Year—

When a horse is sold or leased after an eligibility certificate is issued for the current year, the new owner or lessee may use that certificate if endorsed to him by the USTA. If the certificate is not endorsed to the new owner or lessee, he must apply for a new eligibility certificate.

9.05 Tampering With Eligibility Certificate—

Persons tampering with eligibility certificates may be fined, suspended or expelled and any winnings after such tampering may be ordered forfeited.

9.06 Corrections on Eligibility Certificates—

Corrections on eligibility certificates may be made only by a licensed official or a representative of the USTA. Persons making corrections shall affix their name and the date to the certificate.

9.07 Loss or Destruction of Certificate—

In the event of loss or destruction of an eligibility certificate, a replacement certificate must be secured from the USTA.

9.08 Time Bars Prohibited—

No time bars or records shall be used as an element of eligibility in the set-

ting of race conditions. The only use may be for the purposes of establishing qualifying standards.

9.09 Racing Secretary Shall Prescribe Conditions—
The racing secretary shall prescribe standards to determine whether a horse is qualified to race in overnight events at a meeting.

9.10 Conflicting Conditions—
In the event there are conflicting published conditions, the more favorable to the nominator shall govern.

9.11 Condition Books—
Condition books will be prepared by the racing secretary. Such books shall contain at least three days racing programs and be available to horsemen at least 24 hours prior to closing declarations on any race.

9.12 Races to be Offered—
In presenting a program of racing, the racing secretary shall use exclusively the following types of races: stakes and futurities, early closing and late closing events, conditioned, claiming and invitational races.

9.13 Invitational Races—
Invitational races are limited to the fastest horses at the meeting. These may be Free For All races, Jr. FFA, or Invitationals. Horses to be used in such races shall be posted in the racing secretary's office at least 24 hours prior to the time of closing. Horses so listed shall not be eligible for conditioned overnight races unless the conditions specifically include horses on the invitational list. Purses offered for such races shall be at least 15 per cent higher than the highest purse offered for a conditioned race programmed the same racing week for horses of equivalent age and sex.

A. No two year, three year or four year old will be eligible to be placed on the invitational list to race against older horses until it has won a lifetime total of $15,000 and five races, unless requested by the owner or an authorized agent. Such request may be withdrawn at any time by the owner or agent and said horse returned to conditioned racing.

B. Where a meeting is in progress in December and continues in January of the subsequent year, races and earnings won at that meeting may be computed in determining whether a horse may be placed on the invitational list.

9.14 Rejection of Declarations—
The racing secretary may reject the declaration on any horse whose eligibility certificate was not in his possession on the date the condition book was published. The racing secretary may reject the declaration on any horse whose past performance indicated that he would be below the com-

petitive level of other horses declared, provided the rejection does not result in a race being cancelled.

9.15 Eligibles Posted—

Names of all horses at the track eligible to race in conditioned races shall be posted by gait in the declaration room, together with all the pertinent information concerning such horse that may be required to determine eligibility of such horse to conditioned races offered at the track.

RULE 10
CLAIMING RACES

10.1 Claiming Races—

In claiming races, any horse is subject to claim for his entered price by any owner who has started a horse at the meeting in a race for which a purse is offered, including the race in which the claim is made.

10.2 Who May Claim—

A claim may be made by a licensed authorized agent or trainer for any qualified owner.

10.3 Claiming For Own Account—

No person shall claim his own horses or cause his own horses to be claimed, directly or indirectly, for his own account. Licensees will be fined and/or suspended for participating in such arrangements.

10.4 Claim One Horse—

No person shall claim more than one horse from any one race. No authorized agent, although representing several owners, shall make more than one claim for any one race. When a stable consists of horses owned by more than one person, trained by the same trainer, not more than one claim may be entered on behalf of such stable in any one race.

10.5 Claiming Price—

The claiming price for each horse in a claiming race shall be printed in the official program, and all claims for said horse shall be for the amount designated by the conditions of the race.

10.6 How to Claim—

Each claim shall be made in writing on a form supplied by the race track operator, signed and enclosed in an envelope provided by the racing secretary. The envelope shall have no identification mark on it, but it shall be marked with the number of the race from which the claim is being made. It shall be sealed and deposited in a locked box provided for this purpose by the racing secretary at least 15 minutes before post time or the time fixed for the race to begin and not later.

10.7 Credit Balance to Claim—

No money or its equivalent shall be put in the claim box. For a claim to be valid, the person making the claim must have a credit balance in his account with the track's horsemen's bookkeeper of not less than the amount of the claim.

10.8 Irrevocable—

Claims are irrevocable.

10.9 Opening Claims—

The stewards or their appointed representatives shall open the claim envelopes.

10.10 Title to Claimed Horse—

Title to a claimed horse shall be vested in the successful claimant when the start is effected and said successful claimant shall then become the owner of the horse whether he be alive or dead, sound or unsound, or injured during or after the race.

10.11 Owner Receives Winnings—

A claimed horse shall race in the interest and for the account of the owner entering the horse for claiming.

10.12 Claims Shall be Void—

Claims which are not made in keeping with the Rules and Regulations shall be void. The stewards may at any time, in their discretion, require any person filing a claim to make affidavit in writing that he is claiming in accordance with the rules. The stewards shall be the judges of the validity of a claim.

10.13 Claims Not Made in Good Faith—

Should the stewards, within 24 hours of the filing of a claim, be of the opinion that the lease or the sale for the entry of a horse was not made in good faith, but was made for the purpose of entering a claim, then in such case, they may disallow or cancel any such claim and order the return of a horse that may have been delivered. They shall refer the case to the board for further action.

10.14 Stewards Excuse Horse—

If a claimed horse is excused by the stewards before the race starts, any claim or claims for said horse will be void.

10.15 Delivery to Claimant—

Any horse that has been claimed shall, after the race has been raced, be taken to the paddock for delivery to the claimant, who must present written authorization for the claim from the racing secretary.

10.16 Refusal to Deliver—

No person shall refuse to deliver a claimed horse. Said person will be suspended and the horse disqualified until delivery is made to the person legally entitled to the horse.

10.17 Title Determined By Lot—

If more than one valid claim is filed for the same horse, the title to the horse shall be determined by lot under the supervision of one or more of the stewards or their appointed representatives.

10.18 Thirty Day Lapse After Claim—

For a period of 30 days after the claim, a claimed horse shall not start in a race in which the determining eligibility price is less than the price at which it was claimed. The day claimed shall not count, but the following calendar day shall be the first day and the horse may be entered whenever necessary so that the horse may start on the 31st calendar day following the claim for any claiming price.

10.19 Claimed Horse Ineligible—

A claimed horse shall not be eligible to race in any state other than Illinois for a period of 30 days from the date of claim, or until after the close of the meeting at which it was claimed, whichever period of time is the shorter, except by permission of the stewards of the meeting where the horse is claimed.

10.20 Horse May Not Be Sold—

No horse claimed in a claiming race shall be sold or transferred, wholly or in part, to anyone within 30 days after the day he was claimed except in another claiming race.

10.21 Must Change Stables—

No claimed horse shall remain in the same stable or under the care or management of the owner, trainer, or driver from whom claimed.

10.22 Entering Horse Without Clear Title—

Any person who shall enter, or allow to be entered, in a claiming race, a horse against which any claim is held, either by mortgage, bill of sale or lien of any kind, shall be subject to disciplinary action, unless, when or before entering the horse, the written consent of the holder of the mortgage, bill of sale or lien shall be filed with the racing secretary.

10.23 Disputed Possession—

An allegation of ownership by someone not having peaceful and undisputed possession of a horse entered in a claiming race will not be considered after closing time for claims in that race.

10.24 Claiming Agreements Forbidden—

No person shall offer, or enter into an agreement to claim, or not to claim, or attempt to prevent another person from claiming, any horse in a claiming race. No person shall attempt by intimidation to prevent anyone from racing a horse in any claiming race. No owner or trainer shall make any agreement with another owner or trainer for the protection of each other's horses in a claiming race.

10.25 Stables Eliminated By Sale Or Removal—

Should any stable be eliminated by sale or removal from the grounds, the

right to claim is void. However, when a stable has been eliminated by claiming, the owner so affected shall have the right to claim a horse during the next 30 racing days at any recognized meeting in this state, even though all or a portion of the next 30 racing days takes place in the following calendar year. The owner or trainer of a stable eliminated by claiming shall get a written statement from the state steward, stating the date and place that the said stable was eliminated by claiming. Should such stable acquire a horse before availing itself of the privilege, then the privilege shall be void.

10.26 Stables Eliminated By Fire Or Hazard—
Should any stable be eliminated by fire or other hazard, such stable shall have claiming privilege under the conditions indicated for the stable eliminated by claiming, at the discretion of the stewards.

10.27 No Price Less Than $1,000—
No claiming race shall be carded with a claiming price of less than $1,000.

10.28 Claiming For Another Person—
No qualified owner or his authorized agent shall claim a horse for another person.

10.29 Starter Cannot Declare Until Claiming Race Contested—
Any entry in a claiming race can declare for a subsequent race at the same or higher price, but cannot declare for a subsequent race at a lower price until after the claiming race has been contested. The successful claimant shall have the privilege of scratching from such subsequent race.

10.30 Track Pays Out Claiming Price—
The track shall pay the claiming price to the owner at the time the registration certificate is delivered for presentation to the successful claimant.

10.31 Violators—
Violators of any part of this rule shall be fined, suspended or expelled.

10.32 Charity Meetings—
A charity meeting and regular meeting with the same sponsoring will be considered as one meeting as far as claiming privileges are concerned.

RULE 11
STAKES AND FUTURITIES

11.1 Stakes and Futurities—

All stake and futurity sponsors shall:

A. Annually file a copy of their conditions with this board and with the USTA.

B. Give satisfactory evidence of financial responsibility. A surety bond in the amount of the fund conditioned will be demanded if a sponsor's financial statement shows his net worth as less than five times the amount of trust funds received from stakes and futurities payments.

C. Sponsors shall mail a list of the nominations within 20 days after the closing to each nominator, the board and the USTA.

D. Sponsors shall mail within 20 days the complete list of all horses remaining eligible after each payment to the board and the USTA. Sponsors shall mail within 20 days following the last payment before the starting fee, a complete list of all horses remaining eligible to owners of all eligibles, the board and the USTA.

E. Shall set the nominating date and the dates for all sustaining payments except the starting fee on the 15th day of the month, and there shall be no payments on yearlings except a nomination payment and such nomination payment shall be due not later than August 15th. Before taking any sustaining payments during the year the race is to be contested, the date and place of the race shall be stated.

Beginning with stakes and futurities closing in 1973, and thereafter, the date for closing of nominations of yearlings to stakes shall be May 15th and the date for closing of the nominations to futurities shall be July 15th.

11.2 Announcement Of Events—

Sponsors shall announce the week and place of any event as soon as racing dates for the year are allocated by this board.

11.3 Failure To Make Payments—

Failure to make any payment required by the conditions constitutes an automatic withdrawal from the event.

11.4 Refund of Nomination Fees—

In the event that a mare nominated to a futurity fails to have a live foal, the nominator shall receive a return on his payment upon notification by December 1st of the year the foaling failed, providing such return is called for in the conditions.

RULE 12
ENTRIES AND DECLARATIONS

12.1 Entries—

All entries must:

A. Be made in writing.

B. Be signed by the owner or his authorized agent. Entries signed by a representative of a track for another person are void.

C. Give name and address of both the bona fide owner and agent or registered stable name or lessee.

D. Give name, color, sex, sire and dam of horse.

E. Name the event or events in which the horse is to be entered.

F. Entries in overnight events must also comply with the provisions of 12.19.

12.2 Penalties—

The penalty for noncompliance with any of the above requirements is a fine of not less than $5. nor more than $50. for each offense. If the facts are falsely stated for the purpose of deception, the guilty party shall be fined and/or suspended or expelled.

12.3 Sale Of Horse With Entrance Due—

If any person shall sell a horse to be free and clear and it appears thereafter that payments were due or to become due in races of any description and for which suspension has been or is subsequently ordered, such seller shall be held for the amount due with the penalty on the same and fined an amount equal to the amount of suspension. Unless that horse has been suspended prior to a sale, a subsequent suspension for unpaid entry fees will have no effect as against a bona fide purchaser for value without notice.

12.4 Receipt of Entries—

All entries and payments, except in overnight events, not actually received at the hour of closing shall be ineligible, except entries and payments by letter bearing postmark not later than the following day (omitting Sunday) or entries notified by telegraph, the telegram to be actually received at the office of sending at or before the hour of closing, such telegram to state the color, sex and name of the horse, also to give the name and residence of the owner and the party making entry.

Whenever an entry or payment in a stake, futurity, or early closing race becomes payable on a Sunday or a legal holiday that falls on Saturday, such payment is to be due on the following Monday and if made by mail,

the envelope must be postmarked on or before the following Tuesday. If a payment falls on a Monday that is a legal holiday, such payment is due on Tuesday, and if made by mail must be postmarked on or before the following Wednesday.

12.5 Postage Meter—

Where an entry is received by letter bearing a postage meter date without any postmark by the Post Office, such postage meter date shall be considered to be a postmark for the purposes of this rule if the letter is actually received within seven days following the closing date of the event. The metered date must conform to the postmark date as set forth above in order to be valid.

12.6 Deviation From Published Conditions—

All entries and payments not governed by published conditions shall be void and any proposed deviation from such published conditions shall be punished by a fine not to exceed $50. for each offense, and any nominator who is allowed privileges not in accordance with the published conditions of the race or which are in conflict with these rules, shall be debarred from winning any portion of the purse, and the said nominator and the secretary or other persons who allowed such privileges shall be deemed to have been parties to fraud.

12.7 Where Ineligible Horse Races—

A nominator is required to guarantee the identity and eligibility of his entries and declarations if given incorrectly, he may be fined, suspended or expelled, and any winnings shall be forfeited and redistributed to eligible entries. A person obtaining a purse or money through fraud or error shall surrender or pay the same to the USTA for redistribution or he, together with the parties implicated in the wrong, and the horse or horses shall be suspended until such demand is complied with and such purse or money shall be awarded to the party justly entitled to same.

12.8 Transfer Of Ineligible Horse—

A horse entered in an event to which it is ineligible may be transferred to any event to which it is eligible at the same gait.

12.9 Withholding Purse—

Tracks shall be warranted in withholding the premium of any horse, without a formal protest, if they shall receive information in their judgement tending to establish that the entry or declaration was fraudulent or ineligible. Premiums withheld under this rule shall be forthwith sent to the USTA to await the result of an investigation and order of distribution by this board.

12.10 Early Closing and Late Closing Events—

The sponsor shall state the place and date of the event to be raced and no change in date, program, events or conditions can be made after the nominations have been taken without the written consent of the steward and owner or trainer of all horses eligible at the time the conditions are changed. An entry blank shall be filed with the USTA.

A. All nominations and payments, other than starting fees, in early closing events, shall be due on the first and fifteenth of the month.

B. A complete list of nominations to any late closing or early closing event shall be published within 20 days after the date of closing and mailed to each nominator, the board, and the USTA.

C. If the event does not fill, each nominator, the board, and the USTA shall be notified within 10 days and refund of nomination fees shall accompany the notice.

12.11 Subsequent Payments—

If subsequent payments are required, a complete list of those making the payment shall be made within 15 days after the payment was due and the list mailed to each nominator, the board and the USTA.

12.12 Trust Funds—

All fees paid in added money, early closing events shall be segregated and held as trust funds until the event is contested. If the event is cancelled, all fees and trust fund income shall be refunded.

12.13 Stable Space—

Tracks accepting nominations to early closing and late closing races, stakes and futurities will give stable space to any horse nominated and eligible to such event the day before, the day of, and the day after such race.

12.14 Limitation on Conditions—

No conditions of early closing or late closing events shall be used to eliminate horses nominated to an event or to add horses that have not been nominated to an event by reason of the performance of such horses at an earlier meeting held the same season. Early closing events and late closing events shall have not more than two also eligible conditions.

12.15 Penalties—

Any official or track that fails to comply with any provisions of this rule will be fined, suspended or expelled, unless otherwise provided.

12.16 Excess Entry Fees—

When entry fees exceed 85 per cent of the advertised purse value, such excess entry fees shall be added to the advertised purse. Where the race is split into divisions, each division shall have a purse value of not less than

75 per cent of the advertised purse. However, entry fees in excess of the amount prescribed above may be used toward the amount that must be added.

12.17 Entries and Starters Required—

Tracks must specify how many entries are required for overnight events and after the condition is fulfilled, the event must be contested except when declared off as provided.

A. In early closing or late closing events, if five or more interests are declared in to start, unless otherwise specified in the conditions, the race must be contested, except when declared off as provided. Stakes and futurities must be raced if one or more horses are declared in to start except when declared off as provided.

B. In an early closing event, if less horses are declared in than are required to start, and all declarers are immediately so notified, the horse or horses declared in and ready to race shall be entitled to all of the entrance money and forfeits from each horse named.

12.18 Elimination Heats—

In any race where the number of horses declared in to start exceeds 12 on a half-mile track or 16 on a larger track, the race, at the option of the track conducting same stated before positions are drawn, may be raced in elimination heats. No more than two tiers of horses, allowing eight feet per horse, will be allowed to start in any race.

A. Where the race is divided, each division must race for at least 75% of the advertised purse. In an added money, early closing event the race may be divided and raced in divisions and each division raced for an equal share of the total purse if the advertised conditions so provide. Extended meetings shall add an additional amount so that each division will race for 75% of the total of the advertised purse and added money. These provisions shall apply to any stake or early closer with a value of $20,000 or less.

B. In any stake race or futurity, where the conditions state that the event shall be raced one dash on a race track of less than a mile at an extended pari-mutuel meeting, and where the number of horses declared in to start exceed 12, the race, at the option of the track operator, stated before positions are drawn, may be divided by lot and raced in two elimination divisions with all money winners from both divisions competing in the final. Each division shall race one elimination heat for 20% of the total purse. The remainder of the purse shall be distributed to the money winners in the final.

12.19 Elimination Plans—

Whenever elimination heats are required, or specified in the published conditions such race shall be raced in the following manner unless conducted under another section of this rule. The field shall be divided by lot and the first division shall race a qualifying dash for 30% of the purse, the second division shall race a quarter dash for 30% of the purse and the horses so qualified shall race in the main event for 40% of the purse. The winner of the main event shall be the race winner.

A. In the event there are more horses declared to start than can be accommodated by the two elimination dashes, then there will be added enough elimination dashes to take care of the excess. The per cent of the purse raced for each elimination dash will be determined by dividing the number of elimination dashes into 60. The main event will race for 40% of the purse.

B. Unless the conditions provide otherwise, if there are two elimination dashes, the first four finishers in each dash qualify for the final; if three or more elimination dashes, not more than three horses will qualify for the final from each qualifying dash.

C. The judges shall draw the positions in which the horses are to start in the main event, i.e., they shall draw positions to determine which of the two dash winners shall have the pole, and which the second position; which of the two horses that have been second shall start in third position; and which in fourth, etc. All elimination dashes and the concluding heat must be programmed to be raced upon the same day or night, unless special provisions for earlier elimination dashes are set forth in the conditions.

In the event there are three separate heat or dash winners and they alone come back in order to determine the race winner according to the conditions, they will take post positions according to the order of their finish in the previous heat or dash.

D. In any race where the number of horses declared in to start exceeds 12 on a half-mile track or 16 on a mile track, unless other numbers are specified in the conditions, the race, at the option of the track conducting the same, stated before positions are drawn, may be divided by lot and raced in two divisions with all heat winners from both divisions competing in a final heat to determine the race winner. Each division shall race two heats for 20% of the purse each heat. The remaining 20% of the purse shall go to the winner of the final heat.

E. Whenever elimination heats are required or specified in the published conditions of a stake or futurity, such race may be raced on the three heat plan, irrespective of any provisions in the conditions to the con-

trary, unless such published conditions provide otherwise. That is, the field shall be divided by lot and the first division shall race for 30% of the purse, the second division shall race for 30%, and the horses qualifying in the first and second divisions shall race the third heat for 30% of the purse. If, after the third heat, no horse has won two heats, a fourth heat shall be raced by only the heat winners. The race winner shall receive the remaining 10% of the purse. The number of horses qualifying to return after each elimination heat will be the same as set out in this rule.

12.20 Overnight Events—

Not more than nine horses shall be allowed to start. No horse shall be entered in more than one event on the same program except where the conditions of the race provide that it shall be contested in two or more heats or dashes.

12.21 Entry Box and Drawing Of Horses—

The entry box shall be opened by the presiding judge, or his designated representative, at the advertised time. The presiding judge will be responsible to see that at least one horseman or an official representative of the horsemen is present. Upon request of the racing secretary, 30 minutes prior to the opening of the box, the presiding judge may inform the racing secretary as to the number of horses declared in.

No owner or agent for a horse with a declaration in the entry box shall be denied the privilege of being present. Under the supervision of the presiding judge, all entries shall be listed, the eligibility checked, preference ascertained, starters selected and post positions drawn. If it is necessary to reopen any race, public announcement shall be made at least twice and the box reopened at a definite time.

12.22 Substitute Races—

Substitute races may be provided for each day's program and shall be so designated. A substitute race shall be used only if regularly scheduled races fail to fill. Entries in all races shall be posted.

12.23 Drivers—

Declarations shall state who will drive the horse and give the driver's colors. Drivers must be named at the time prescribed by the presiding judge at a meeting.

After being named, no driver shall be changed except by permission of the judges. When a nominator starts two or more horses, the judges shall approve or disapprove the second and third drivers.

12.24 Declaration and Withdrawing—

After declaration to start has been made, no horse shall be drawn except by permission of the judges. A fine not to exceed $500., or suspension, may be

imposed for drawing a horse without permission, the penalty to apply to both the horse and the party who violates the regulation.

12.25 Qualifying Races—

At all extended pari-mutuel meetings, declarations for overnight events shall be governed by the following:

A. A horse that has not raced previously at the gait chosen must go a qualifying race under the supervision of a presiding judge or associate judge and acquire at least one charted line by a licensed charter. To provide complete and accurate chart information on time and beaten lengths, a standard photo-finish shall be in use.

B. A horse that does not show a charted line for the previous season, or a charted line within its last six starts, must go a qualifying race as set forth in A. Uncharted races contested in heats or more than one dash and consolidated according to D. will be considered one start.

C. A horse that has not started at a charted meeting by August 1st of a season must go a qualifying race as set forth above in A.

D. When a horse has raced at a charted meeting during the current season, then gone to meetings where uncharted races may be summarized, including each start and consolidated in favor of charted lines, the requirements of sub-section B. do not apply.

F. A horse's finish in a qualifying race for which no purse is offered shall not be used in determination of eligibility for other races.

12.26 Entry or Coupling—

When starters in a race include two or more horses owned or trained by the same stable or by the same management, or same person, they shall be coupled as an "entry," with no exceptions, and a wager on one horse in the "entry" shall be a wager on all horses in the "entry." If a race is split in two or more divisions, horses in an "entry" shall be seeded in separate divisions, but the divisions in which they compete and their post positions shall be drawn by lot.

12.27 Husband-Wife Entries—

In case of husband and wife, no entry shall be received from husband or wife while either is disqualified. Husband and wife, unless legally separated shall be considered a single entity. Any ruling which applies to one shall apply equally to the other. This rule shall not apply in the case of a spouse suspended for a driving infraction or in such other cases, in the discretion of the stewards.

12.28 Also Eligibles—

Not more than two horses may be drawn as also eligibles for a race and

their positions shall be drawn along with the starters in the race. In the event one or more horses are excused by the judges, the also eligible horse or horses shall race and take the post position drawn by the horse that it replaces, except in handicap races. In handicap races, the also eligible horse shall take the place of the horse that it replaces in the event the handicap is the same. In the event the handicap is different, the also eligible horse shall take the position on the outside of horses with a similar handicap. No horse may be added to a race as an also eligible unless the horse was drawn as such at the time declarations closed. No horse may be barred from a race to which it is otherwise eligible by reason of its preference due to the fact that it has been drawn as an also eligible. A horse moved into the race from the also eligible list cannot be drawn except by permission of the judges, but the owner or trainer of such a horse shall be notified that the horse is to race and it shall be posted at the racing secretary's office. All horses on the also eligible list not moved into the race by the time specified by the presiding judge shall be released.

12.29 Preference—

Preference shall be given in all overnight events according to a horse's last previous purse race during the current year. The preference date on a horse that has drawn to race and then scratched is the date of the race from which he was scratched. When a horse is racing for the first time in the current year, the date of the first declaration shall be considered its last race date and preference applied accordingly.

12.30 Stewards' List—

A horse that has been placed on the stewards' list at an extended meeting for the reason that it is a dangerous or unmanageable horse, shall not be thereafter accepted as an entry unless removed from said stewards' list by the presiding judge and state steward.

Owners and trainers shall be notified in writing of such action and the reason for such action.

The clerk of the course shall make a note on the eligibility certificate of each such horse showing the date the horse was placed on the stewards' list, the reason therefor and the date of removal if the horse has been removed.

RULE 13
GENERAL LICENSEE RULES

13.1 Workmen's Compensation—

Every applicant for an owner's or a trainer's license who has, or contemplates having, one or more employees at any horse racing meeting or any harness racing meeting licensed by the Illinois Racing Board, must elect to be bound by the provisions of the Workmen's Compensation Act of the State of Illinois (Chapter 48, sections 138.1 through 138.28, Illinois Revised Statutes, 1967) and shall accordingly, comply with the provisions of section four of said act; and shall, at the time of submitting his application for an owner's or trainer's license, attach to said application a certificate of compliance or a certificate of approval from the Industrial Commission of the State of Illinois that said owner or trainer has complied with the provisions of said Workmen's Compensation Act.

A. Where an owner or a trainer has no employees, and contemplates none, he shall attach an affidavit to this effect with his said application upon a form to be supplied by the Illinois Racing Board. If thereafter, said owner or trainer does become an employer, he shall comply with the above paragraph and supply to the Illinois Racing Board the applicable certificate.

B. No license will be issued to any owner or trainer unless either one of the certificates or the affidavit above mentioned is attached to said license application.

13.2 Health Certificate—

No horse will be allowed in at a race track where a meet is in progress or imminent unless a current, valid health certificate is presented for him. A health certificate is valid when it is made by a certified veterinarian licensed by the state where the exam and certificate are prepared. No horse will be permitted to start unless said certificate is on file with the racing secretary.

A. It is current if it is dated not more than 10 days prior to the date the horse described in the certificate arrives at an Illinois race track for the first time in a calendar year. When a horse is shipped from a Chicago area track to a track in Southern Illinois or from a track in Southern Illinois to a Chicago area track a new examination must be made and a new health certificate must be issued. In each instance the certificate shall include the temperature of the horse at the time he was examined. The race track operator putting on the meet is responsible for compliance with the rule.

13.3 Observe Sanitary, Safety, Humane Rules—

A trainer shall see to it that the stables and immediate surrounding area assigned to him are sanitary at all times and that the humane laws of the State of Illinois be observed, also that the fire prevention rules (especially no-smoking in the stable area) be strictly observed at all times. Constant misuse of property will be considered when stall assignments are made.

13.4 Halters—

All horses shall be haltered while in the stall.

13.5 Equipment Change and Records—

The race track operator shall require owners and agents of all horses before starting in a race conducted by the Illinois Racing Board to register with the paddock judge of the race track operator regular racing equipment to be worn by the horse to be raced; copies of the same to be forwarded to the presiding judge and board. Any changes or alterations in this equipment must be approved by the judges.

13.6 Special Equipment—

Every sulky used in a race at a licensed meeting shall be equipped with such special equipment as the judges shall order. The obtaining and installation of such equipment are the responsibility of each owner. A driver shall not drive a sulky not equipped as so ordered by the judges.

13.7 Horses in Paddock and Receiving Barn—

Horses must be in the paddock at the time prescribed by the presiding judge, but at least one hour prior to post time of the race in which the horse is to compete. Except for warm-up scores, no horse shall leave the paddock until called to post.

A. Horses shipped in from approved outside stabling areas must be in the designated receiving barn by 4 p.m. of the day they are to race.

B. Persons entitled to admission to the paddock are:

1. Owners of horses competing on the date of the race.
2. Trainers of horses competing on the date of the race.
3. Drivers of horses competing on the date of the race.
4. Grooms and caretakers of horses competing on the date of the race.
5. Officials whose duties require their presence in the paddock or receiving barn.

C. No driver, trainer, groom, or caretaker, once admitted to the paddock or receiving barn, shall leave the same other than to warm up said horse until such race or races for which he was admitted is contested.

D. No person except an owner, who has another horse racing in a later race, or an official shall return to the paddock until all races of that program shall have been completed.

E. No more than two members of a registered stable, other than the driver, shall be entitled to admission to the paddock on any racing day.

13.8 Body Alcohol Testing—

Body alcohol testing of sulky drivers shall be conducted in accordance with the Illinois Racing Board Rules and Regulations.

RULE 14
GENERAL RACING AND TRACK RULES

14.1 Number of Races—

No race track operator shall hold or run off in any one program more than a total of 10 races.

If eight races are programmed, four completed races constitute a completed program; if nine races are programmed, five completed races constitute a completed program; and if 10 races are programmed, six completed races constitute a completed program.

14.2 Extra Races—

No extra races on a racing date will be permitted without the written approval of the board and subject to conditions by the board.

14.3 Postponement of Races—

No racing shall be conducted by any race track operator over any track which is dangerous to drivers or horses competing thereon. If at any time inclement weather or other conditions appear to make the track unsafe, representatives of management and the horsemen shall meet to determine whether or not racing shall be conducted. If a difference of opinion exists, the decision of the state steward shall be final.

14.4 Postponement Procedure—

Early closing races, stakes and futurities shall be postponed to a definite hour the next fair day and good track.

A. Any late closing race, early closing race, stake or futurity (except as provided in B. below) that cannot be raced during the scheduled meeting shall be declared off and the entrance money and forfeits shall be divided equally among the nominators who have horses declared in and eligible to start.

B. Unless otherwise provided in the conditions, in order to transfer stakes and futurities to another meeting, unanimous consent must be obtained from the track and from all those having eligibles in the event.

C. Overnight events may be postponed and carried over not to exceed two racing days.

D. At the option of management, any postponed races may be contested in single mile dashes. Where races are postponed under this rule, management shall have the privilege of selecting the order in which the events will be raced in any combined program.

E. Any stake or futurity that has been started and remains unfinished

on the last day of the scheduled meeting shall be declared ended and the full purse divided according to the summary except where the board allows the track to extend the meeting to complete the race.

14.5 Post Time—

In the event that racing pursuant to the hours prescribed by the license for the meeting is conducted at night or twilight, the wagering program must be completed no later than midnight.

A. Post time for the first race of the program may be fixed by the race track operator. If post time must be delayed, said operator must receive approval of said delay from the state steward.

14.6 Head Numbers—

Each competing horse shall be equipped with numbers of style, type and design approved by the state steward. Numbers shall be arranged so that coupled horses may be distinguished as such, and that horses coupled in the field may be distinguished as such.

14.7 License Display—

During the course of its race meetings, each licensed race track operator shall display the license issued by the board for the current year.

14.8 Bonafide Contests—

All races shall be bonafide contests with the winner receiving the largest share of the purse and the balance of the purse distribution made according to the order of finish. No hippodroming or other arrangement for equal distribution of the purse money among the contestants is permitted. Violation of this rule will subject the track officials in charge and the owners and drivers to fine, suspension and/or expulsion.

14.9 Payment Default—

Any track that defaults in the payment of a premium that has been raced for, shall, after a hearing before the board, stand suspended, together with its officers. No deduction, voluntary or involuntary, may be made from any purse or stake or futurity other than for payments to be made to the owners, nominators, or breeders of money winning horses and organization or promotion expenses stipulated for stakes and futurities.

14.10 Unpaid Premiums—

Unless claims for unpaid premiums shall be filed with the board and with the USTA within 60 days after the date the race is contested, the USTA may release any performance bond it may hold.

14.11 Liability for Promoters—

If at a meeting of a licensed track, a race is contested which has been

promoted by another party or parties, and the promoters thereof default in the payment of the amount raced for, the same liability shall attach to the licensed track as if the race had been offered by such licensed track.

14.12 Bad Checks—

Any track that pays any purse by check, which upon presentation is dishonored, the matter shall immediately be referred to the board for disposition.

14.13 Advertised Purse—

When any track advertises minimum purses and conducts any race for less than said advertised minimum, unless previously sanctioned by the Illinois Racing Board, such track shall be fined the difference between the advertised minimum and the lesser purse for which such race was conducted and the proceeds of such fine may be distributed among the money winning horses in proportion to their respective winnings.

14.14 False Advertising—

Except as herein stated, no track shall advertise to pay or pay any awards other than to owners, nominators, or breeders of money winning horses. Awards may be made to drivers of horses breaking or equaling track or world records, or to leading drivers at meetings.

14.15 Stall Applications—

All conditions contained in stall applications shall be submitted to the board for approval prior to issuance or publication. Included on the stall application must be the following requirement:

All horses must present proof that they have passed the Coggins test for equine infectious anemia before stall space will be granted.

(NOTE: Requirement for Coggins test will become rule as soon as the test is made official by the USDA, until then, the requirement is optional for the race track operator.)

A. No track shall assign stalls to any owner or trainer except by a written application submitted to the race track operator prior to the assignment of stable or stall space which application shall be approved in writing or by stamp by the state steward or his designee. No stable or stall spaces shall be assigned without this approval.

14.16 Paddock and Receiving Barn—

Every extended pari-mutuel track shall provide a paddock and a receiving barn.

A. The paddock and receiving barn must be completely enclosed with a man-tight fence and all openings through said fence shall be policed so as to exclude unauthorized personnel therefrom.

B. During racing hours, each track shall provide suitable extra equipment as may be necessary for the conduct of racing without unnecessary delay.

C. During racing hours, each track shall provide the services of a blacksmith within the paddock.

D. Each track shall see that the provisions of this rule are rigidly enforced and the board may impose a fine not to exceed $500. for each violation of this rule.

14.17 Photofinish and Starting Gate—

At all tracks a photo finish and starting gate must be used. Whenever the judges use a photo to determine the order of finish, it shall be posted for public inspection during that same racing program. Photo finish equipment shall not be acceptable unless a spinner or target is used therewith.

14.18 Driver Insurance—

Each track shall prepare and prominently display in the racing secretary's office, a statement giving the name of the company with which it carries driver insurance.

14.19 Interference with Officials—

Although track operators have the obligation of general supervision of their meetings, interference with the proper performance of duties of any official is hereby prohibited.

14.20 Film Recordings—

All race track operators shall take and make at their own expense a complete recording of all races run. The number and location of recording stations, the type of equipment used and the quality of the image must be satisfactory to the state steward. The state steward shall certify to the board, three days prior to the opening of any meeting, in writing, that he approved the recording system, and believes it to be adequate. No system shall be so certified unless it can deliver the recording of the race within two minutes of the finish of that race.

A. These recordings shall be under the control of the state steward and shall not be shown to other persons without his permission. The race track operator shall hold all recordings from one year from the final date of the race meeting at which they are made. Race track operators shall hold for five years, all recordings in which there are infractions or claims of foul and such other recordings as the state steward shall designate.

B. In order to educate and protect the drivers, race track operators shall provide facilities where recordings may be shown to the drivers.

RULE 15
SPECIAL PURSE AND REWARD FUND

15.1 Special Purse and Reward Fund—

Each race track operator conducting a race meet, other than a charity meet, shall retain one-fourth of one per cent of all monies wagered and such monies retained shall be placed in a special purse and reward fund.

A. From the special purse and reward fund, each race track operator conducting a race meet at a race track within a county of less than 500,000 inhabitants shall pay in addition to all other purses and awards, the amount of $50. per race.

B. From the special purse and reward fund each race track operator conducting a race meet at a race track within a county of 500,000 or more inhabitants, shall pay in addition to all other purses and awards, the amount of $200. per race.

C. Stake races conducted during a regular race meet and all races conducted during a charity meet shall be excluded from participation in the additional amounts distributed from the special purse and reward fund.

D. Any surplus accumulating in the special purse and reward fund shall be distributed by the race track operator to cause an increase in the additional purses to be paid under sections A. and B. of this rule. The distribution shall be made only upon a receipt of a written directive from the board. The board may, at its discretion, withhold distribution of the accumulated surplus to provide assurance that funds will be available for reimbursement of any deficiencies created under section F. of this rule.

E. Any race track operator conducting a race meet, wherein the one-fourth of one per cent retention is insufficient to maintain the minimum additional purse requirements shall pay the amounts stipulated in sections A. and B. of this rule and the board shall cause such race track operator to be reimbursed, for any deficiency created, through a transfer of surplus funds accumulated at any or all other race tracks.

F. Any race track operator conducting a race meet, wherein the one-fourth of one per cent retention is insufficient to maintain the minimum additional purse requirements shall pay the amounts stipulated in sections A. and B. of this rule and the board shall cause such race track operator to be reimbursed, for any deficiency created, through a transfer of surplus funds accumulated at any or all other race tracks.

G. Any surplus accumulating in the special purse and reward fund at the close of a race meet and not utilized as reimbursement funds by the board shall be maintained by the race track operator and distributed, to cause an increase in the additional purses to be paid during the race meet conducted by the race track operator in the next racing year.

H. Any surplus accumulating in the special purse and reward fund at the close of a race meet, by a race track operator that is not granted racing dates in the following racing years, shall be transferred by board directive to other race meets.

I. The board shall at all times endeavor to cause an immediate distribution of any surplus accumulating to assure participants in the current race meet the benefits of increased purses.

J. The special purse and reward fund shall be separately accounted for by the race track operator and the fund shall be available for audit by the board at all times.

RULE 16
STARTING

16.1 Starting Gate—

Horses shall be started from a starting gate. The starter shall have control of the horses from the formation of the parade until he gives the word "go."

A. After one or two preliminary warming up scores, the starter shall notify the drivers to come to the starting gate. During or before the parade, the drivers must be informed as to the number of scores permitted. Horses may be held on the backstretch not to exceed two minutes awaiting post time, except during an emergency.

B. The horses shall be brought to the starting gate as near one-quarter of a mile before the start as the track will permit.

C. Allowing sufficient time so that the speed of the gate can be increased gradually, the following minimum speeds will be maintained:

1. For the first one-eighth mile, not less than 11 miles per hour.
2. For the next one-sixteenth of a mile, not less than 18 miles per hour.
3. From that point to the starting point, the speed will be gradually increased to maximum speed.

D. On mile tracks, horses will be brought to the starting gate at the head of the stretch and the relative speeds mentioned in sub-section C. above will be maintained.

E. The starting point will be a point marked on the inside rail a distance of not less than 200 feet from the first turn. The starter shall give the word "go" at the starting point.

F. When a speed has been reached in the course of a start there shall be no decrease except in the case of a recall.

G. In case of a recall, a light plainly visible to the driver shall be flashed and a recall sounded, but the starting gate shall proceed out of the path of the horses.

H. There shall be no recall after the word "go" has been given and any horse, regardless of his position or an accident shall be deemed a starter from the time he entered into the starter's control unless dismissed by the starter.

I. The starter shall endeavor to get all horses away in position and on gait but no recall shall be had for a breaking horse.

J. The starter may sound a recall only for the following reasons:

1. A horse scores ahead of the gate.
2. There is interference.
3. A horse has broken equipment.
4. A horse falls before the word "go" is given.
5. When a horse refuses to come to the gate.

K. Drivers may be fined by the starter for failure to come up to position and/or failure to stay in position.

L. A fine not to exceed $100, or suspension from driving not to exceed 15 days, or both, may be applied to any driver, by the starter for:

1. Delaying the start.
2. Failure to obey the starter's instructions.
3. Rushing ahead of the inside or outside wing of the gate.
4. Coming to the starting gate out of position.
5. Crossing over before reaching the starting point.
6. Interference with another driver during the start.
7. Failure to come into position and/or failure to stay in position.

A hearing must be granted before any penalty is imposed.

16.2 Use of Loudspeaker—

Use of a mechanical loudspeaker for any purpose other than to give instructions to drivers is prohibited. The volume shall be no higher than necessary to carry the voice of the starter to the drivers.

16.3 Two Tiers—

In the event there are two tiers of horses, the withdrawing of a horse that has drawn or earned a position in the front tier shall not affect the position of the horses that have drawn or earned positions in the second tier. Whenever a horse is drawn from any tier, horses on the outside move in to fill up the vacancy.

16.4 Horses Must Go The Course—

The horses shall be deemed to have started when the word "go" is given by the starter and all horses must go the course except in case of an accident in which it is the opinion of the judges that it is impossible to go the course. Drivers must be mounted at the finish of the race or the horse must be placed as not finishing.

16.5 Unmanageable Horses—

If in the opinion of the judges or the starter a horse in unmanageable or liable to cause accidents or injury to any other horse or to any driver, it

may be sent to the barn, but the entry and declaration fees on the horse shall then be refunded. In no event shall such a horse be placed on the outside. When a horse is sent to the barn, the starter will notify the judges who will in turn notify the public.

16.6 Starting Gate Shields—

The arms of all starting gates shall be provided with a screen or a shield in front of the position for each horse, and such arms shall be perpendicular to the rail.

16.7 Riding With the Starter—

No persons shall be allowed to ride in the starting gate except the starter and his driver or assistant, and a patrol judge, unless permission has been granted by the state steward.

RULE 17
DRIVERS, TRAINERS AND AGENTS

17.1 Proper License—

No driver is eligible to participate in races without a driver's license issued by the USTA. Driver's lacking said license must petition the board for an Illinois driver's license.

17.2 Approval of License—

Drivers must present their licenses to the clerk of the course before driving.

17.3 Driver's Bench—

Drivers wearing colors, but not competing in a particular race, shall view said race from benches set aside for them by the race track operator.

17.4 Disorderly Conduct—

The following shall constitute disorderly conduct and be reason for a fine, suspension, or revocation of a driver's license:

A. Failure to obey the judges' orders.

B. Failure to drive when programmed unless excused by the judges.

C. Intoxication. Intoxication is defined as a body alcohol tested as equal to or greater than .05 per cent. Two drinks each containing one ounce of 100 proof whiskey, four to six hours before testing, are likely to produce a body alcohol level greater than .05 per cent.

D. Appearing in the paddock in an unfit condition to drive.

E. Fighting

F. Assaults

G. Offensive and profane language

H. Smoking on the track in silks during actual racing hours or in the barns and stables.

I. Warming up a horse prior to racing without silks.

J. Disturbing the peace.

K. Refusal to take a sobriety test when directed by the presiding judge.

17.5 Caretakers—

No attendant of a horse shall appear upon the track one hour preceding post time of the first event of the day or night without proper uniform. No attendant of a horse shall smoke upon the track.

17.6 Colors—

Drivers must wear distinguishing colors and shall not be allowed to start in a race or other public performance unless in the opinion of the judges they are properly dressed. No one shall drive during the time when colors are required on a race track unless he is wearing a type of protective helmet as prescribed by the presiding judge. In any race or appearance on the race track during the racing program, raingear worn by a driver shall be in his own or his owner's colors.

17.7 Restricted Areas For Drivers in Colors—

Any driver wearing colors who shall appear at a betting window, grandstand or clubhouse, or at a bar or in a restaurant dispensing alcoholic beverages shall be fined not to exceed $100. for each such offense.

17.8 Driver Substitutions—

No driver can, without good and sufficient reasons, decline to be substituted by judges. Any driver who refuses to be so substituted may be fined or suspended, or both, by order of the judges.

17.9 Driving Violations—

Where the penalty is for a driving violation and does not exceed in time a period of five days, the driver may complete the engagements of all horses declared in before the penalty becomes effective. Such driver may drive in stake, futurity, early closing and feature races during a suspension of five days or less, but the suspension will be extended one day for each date he drives in such a race.

17.10 Color Registration—

Drivers holding a full license, or registered stables shall register their racing colors with the USTA.

17.11 Repeated Violations—

Repeated rule violations shall be considered grounds for refusal to grant or grounds for revocation of any driver's license.

17.12 Accidents—

In the event any person is involved in an accident on the track, the board may order such person to submit to a physical examination and such examination must be completed within 30 days from such request or the license may be suspended until compliance therewith.

17.13 Physical Examination—

An applicant for a driver's license may be required to take a physical examination before his application will be considered.

A. The Illinois Racing Board reserves the right to require any driver to take a physical examination at any time.

17.14 Appeals—

The stewards must decide every objection pertaining to a race. From every decision, there is an appeal to the Illinois Racing Board, notice of which must be given in writing to the state steward and the racing secretary within 48 hours of the time the objector has been officially informed of said decision.

17.15 Drivers Meeting—

Before the first race at any pari-mutuel meet is contested, officials and drivers shall meet at a time and place designated by the presiding judge and discuss the Rules and Regulations. Every driver shall be notified of the time and place of said meeting in writing with notification delivered at the stable of such driver at least one day in advance of such meeting. Drivers who come in after the meeting has started shall introduce themselves to the state steward or presiding judge and discuss the Rules and Regulations before driving in their first race.

17.16 Traffic Procedure—

Horses meeting on the track shall pass to the left.

RULE 18
RACING RULES

18.1 Racing Conduct—

Although a leading horse is entitled to any part of the track except after selecting his position in the home stretch, neither the driver of the first horse or any other driver in the race shall do any of the following things, which shall be considered violations of driving rules:

A. Change either to the right or left during any part of the race when another horse is so near him, that in altering his position he compels the horse behind him to shorten his stride, or causes the driver of such other horse to pull him out of his stride.

B. Jostle, strike, hook wheels, or interfere with another horse or driver.

C. Cross sharply in front of a horse or cross over in front of a field of horses in a reckless manner, endangering other drivers.

D. Swerve in and out or pull up quickly.

E. Crowd a horse or driver by "putting a wheel under him."

F. "Carry a horse out" or "sit down in front of him," take up abruptly in front of other horses so as to cause confusion or interference among the trailing horses, or do any other act which constitutes helping.

G. Let a horse pass inside needlessly.

H. Laying off a normal pace and leaving a hole when it is well within the horse's capacity to keep the hole closed.

I. Commit any act which shall impede the progress of another horse or cause him to "break."

J. Change course after selecting a position in the home stretch and swerve in or out, or bear in or out, in such manner as to interfere with another horse or cause him to change course or take back.

K. To drive in a careless or reckless manner.

L. Whipping under the arch of the sulky.

M. Failure to set and maintain a proper pace while driving.

18.2 Complaints—

All complaints by drivers of any foul driving or other misconduct during a heat or dash must be made at the termination thereof, unless the driver is prevented from doing so by an accident or injury. At the conclusion of each heat or dash, every driver shall return in the sulky to the judges' stand

to be dismissed by the judges or barrier judge. Any driver desiring to enter a claim of foul or other complaint of violation of the rules, must before dismounting indicate to the barrier judge his desire to enter such claim or complaint and forthwith upon dismounting shall proceed to the telephone or judges' stand where and when such claim, objection or complaint shall have been entered and considered.

After being dismissed by the judges or barrier judge, all drivers must return to the paddock and remain there until the race is made official.

18.3 Violations By Entries—

If any of the above violations is committed by a person driving a horse coupled as an entry in the betting, the judges shall set both horses back, if, in their opinion, the violation may have affected the finish of the race. Otherwise, penalties may be applied individually to the drivers of any entry.

18.4 Penalties—

In the case of interference, collision, or violation of any part of this rule, the offending horse may be placed behind all the unoffending horses in that heat or dash, and in the event such collision or interference prevents any horse from finishing the heat or dash, the offending horse may be disqualified from receiving any winnings; and the driver may be fined not to exceed the amount of the purse or stake contended for, or may be suspended or expelled.

18.5 Unsatisfactory Driving—

Every heat in a race must be contested by every horse in the race and every horse must be driven to the finish. If the judges believe that a horse is being driven, or has been driven with the design to prevent his winning a heat or dash which he was evidently able to win, or is being raced in an inconsistent manner, or to perpetrate or to aid a fraud, they shall consider it a violation and the driver, and anyone in concert with him, to so affect the outcome of the race or races, may be fined, suspended or expelled. The judges may substitute a competent and reliable driver at any time. The substituted driver shall be paid at the discretion of the judges and the fee retained from the purse money due the horse, if any.

A. In the event a drive is unsatisfactory due to lack of effort or carelessness, and the judges believe that there is no fraud, gross carelessness, or a deliberate inconsistent drive, they may impose a penalty under this sub-section not to exceed 10 days suspension or a $100. fine.

18.6 Driver Substitution—

If in the opinion of the judges, a driver is for any reason unfit or incompetent to drive or refuses to comply with the directions of the judges, or is

reckless in his conduct and endangers the safety of horses or other drivers in the race, he may be removed and another driver substituted at any time after the positions have been assigned in a race, and the offending driver shall be fined, suspended or expelled. The substitute driver shall be properly compensated.

18.7 Failure To Finish—

If for any cause other than being interfered with, or broken equipment, a horse fails to finish after starting in a heat, that horse shall be ruled out.

18.8 Improper Conduct—

Loud shouting or other improper conduct is forbidden in a race. After the word "go" is given, both feet must be kept in the stirrups until after the finish of the race. Drivers must have both feet in the stirrups when horses are parading to the post and during qualifying races.

18.9 Whips and Snappers—

Drivers will be allowed whips not to exceed four feet, eight inches, plus a snapper not longer than eight inches. At the discretion of the stewards, brutal use of the whip is a violation punishable by a fine of not more than $200. or suspension or both. Use of the butt end of the whip is prohibited.

18.10 Goading Devices—

The use of any goading device, chain, whip spur, whip spike or nail, headpole burr, line burr, any metal part of a whip or any chemical, mechanical device or appliance, other than the ordinary whip upon any horse shall constitute a violation of this rule, except that headpole burrs that have been approved by the board may be used with the permission of the state steward.

18.11 Accidents—

In the case of accidents, only so much time shall be allowed as the judges may deem necessary and proper.

18.12 Use of Hopples—

Any person found guilty of removing or altering a horse's hopples for the purpose of fraud shall be suspended, or expelled. Any horse habitually wearing hopples shall not be permitted to start in a race without them except by the permission of the judges. Any horse habitually racing free-legged shall not be permitted to wear hopples in a race except with the permission of the judges. No horse shall be permitted to wear a headpole protruding more than 10 inches beyond its nose.

18.13 Breaking—

When a horse or horses break from their gait in trotting or pacing, their driver shall at once, where clearance exists, take such horse to the outside and pull it to its gait.

A. The following shall be considered violations of this rule:

1. Failure to properly attempt to pull the horse to its gait.
2. Failure to take to the outside where clearance exists.
3. Failure to lose ground by the break.

B. If there has been no failure on the part of the driver in complying with sub-sections 1, 2 and 3, the horse shall not be set back unless a contending horse on his gait is lapped on the hind quarter of the breaking horse at the finish.

C. The judges may set any horse back one or more places if in their judgement any of the above violations have been committed and the driver may be punished.

18.14 Breaking on Purpose—

If in the opinion of the judges, a driver allows his horse to break for the purpose of fraudulently losing a heat or dash, he shall be liable to the penalties elsewhere provided for fraud and fouls.

18.15 Call Out Breaks—

To assist in determining the matters contained in sections 7.02 and 7.03, it shall be the duty of one of the judges to call out every break made, and the clérk shall at once note the break and character of it in writing.

18.16 Right of Course—

Horses called for a race shall have the exclusive right of the course and all other horses shall vacate the track at once, unless permitted to remain by the judges.

18.17 Penalties—

Any violation of any sections of the above rule, unless otherwise provided, may be punished by a fine and/or suspension or by expulsion.

RULE 19
PLACING AND MONEY DISTRIBUTION

19.1 Placing—

Unless otherwise provided in the conditions, all purses shall be distributed on the dash basis with the money awarded according to a horse's position in each separate race or heat of a race.

19.2 Money Distribution—

Unless otherwise specified in the conditions, the money distribution in dashes shall be 50 per cent, 25 per cent, 12 per cent, 8 per cent and 5 per cent. In early closing events, late closing events or added money events, if there are less than five starters, the remaining premium shall go to the race winner unless the conditions call for a different distribution. In overnight events if there are less than five starters, the premium for the positions for which there are no starters may be retained by the track.

A. If horses have started for any premium or premiums but were unable to finish due to an accident or otherwise, all unoffending horses who did not finish will share equally in such premium or premiums.

RULE 20
FORBIDDEN CONDUCT

20.1 Improper Conduct—

No owner, trainer, driver, attendant of a horse, or any other person shall use improper language to an official, or be guilty of any improper conduct toward such officers or judges or persons serving under their orders, such improper language or conduct having reference to the administration of the course, or of any race thereon.

20.2 Assault And/Or Battery—

No owner, trainer, driver or attendant of a horse, or any other person, at any time or place shall commit an assault, or an assault and battery, upon any driver who shall drive in a race, or shall threaten to do bodily injury to any such driver or shall address to such driver language outrageously insulting.

20.3 Conspiracy Not To Race—

If any owner, trainer, or driver of a horse shall threaten or join with others in threatening not to race, or not to declare in, because of the entry of a certain horse or horses, or a particular stable, thereby compelling or trying to compel the racing secretary to reject certain eligible entries, it shall be immediately reported to the state steward and the offending parties may be suspended pending a hearing.

20.4 Demand Special Rewards—

No owner, agent or driver who has entered a horse shall thereafter demand of the track a bonus of money or other special award or consideration as a condition for starting the horse.

20.5 Betting On Starters—

No owner, trainer, driver, agent, employee or attendant shall bet or cause any other person to bet on his behalf on any other horse in any race in which a horse owned, trained, or driven or in which he in any ways represents or handles is a starter.

20.6 Fraudulent Proposals—

If any person under the jurisdiction or control of the board is approached with any offer or promise of a bribe or with a request or a suggestion for a bribe or for any improper, corrupt or fraudulent act or practice in relation to a race or racing, or that any race shall be conducted otherwise than fairly in accordance with the Rules and Regulations of the board, it shall be the duty of such person to report immediately such matters to the judges and the board. Persons violating this section will be suspended for a period of not less than 30 days to a lifetime suspension.

20.7 Acts Injurious To Racing—

Any misconduct on the part of a race track operator or participant, fraudulent in its nature or injurious to the character of the turf, although not specified in these rules, is forbidden. Any person or persons who individually or in concert with one another, shall fraudulently and corruptly, by any means, affect the outcome of any race or affect a false registration or commit any other act injurious to the sport, shall be guilty of a violation.

20.8 Conspiracy To Violate Rules—

If two or more persons shall combine and confederate together in any manner, regardless of where the said persons may be located, for the purpose of violating any of these rules and shall commit some act in furtherance of the said purpose and plan, it shall constitute a conspiracy and a violation.

20.9 Sworn Oaths—

In any case where an oath is administered by judges, or a representative of this board under the Rules and Regulations, or a Notary Public, or any other person legally authorized to administer oaths, if the party knowingly swears falsely or withholds information pertinent to the investigation, he shall be fined, suspended, or both, or expelled.

20.10 Association With Undesirables—

No owner, driver, trainer, groom, attendant or any other person having charge of or access to any horse shall at any time associate with, consort with or in any manner communicate with any known bookmaker, tout or persons of similar pursuits either on or off the track. If the reputation of a gambler, bookmaker, tout or person of similar pursuit is notorious, the owner, driver, trainer, groom attendant or other persons having charge of, or access to any horse shall be presumed to have knowledge of the fact. Persons violating this section will be suspended for a period of not less than 30 days to a lifetime suspension.

20.11 Bookmaking—

Anyone guilty of making a handbook on the grounds of any race track operator shall be ejected from the grounds, and denied further admission thereto, and any owner, driver, or other person interested in any horse or horses at said meeting, who shall be guilty of betting with or through any such handbook, shall be ejected from the grounds or denied admission by order of the stewards.

20.12 Solicitation of Wagers—

If any trainer, driver, stable employee or other person solicits bets from the public by correspondence or other methods, to be made on the horses in any stable, or passes information to outsiders for betting purposes, such

person or persons so offending shall be ruled off the course or denied admission by order of the stewards.

20.13 Betting By Board Employees—

Any person appointed or approved by the board is prohibited from betting or having anyone bet for him. Employees violating this rule shall be removed from their positions.

20.14 Sale Of Products By Board Appointees—

No person appointed to positions by the board or whose employment at race tracks is subject to the approval of the board shall directly or indirectly offer to sell, solicit sales for, or distribute any product upon the grounds of any race track licensed by the board in which such person may have any beneficial interest, or who may receive compensation from the promotion, sale or distribution of such product.

RULE 21
MEDICATION

21.1 Saliva And Urine Tests—

The winning horse in every heat and/or race or performance against time and every betting favorite who fails to finish at least third shall be subjected to saliva and urine tests for the purpose of determining the presence of any drug, stimulant, sedative, depressant or medicine.

A. The judges at any meeting may order any other horse in any heat or race to be subjected to the saliva test or any other test for the purpose of determining the presence of any drug, stimulant, sedative, depressant, medicine or disease.

B. The race track operator shall make provision for suitable stall space with door equipped with substantial lock and keys where such specimens can be taken by the state veterinarian and also furnish during the racing hours a guard whose duty shall be to guard such stall and steel cabinets and jars containing said specimens and shall remain on duty until delivery has been made to the chemist representing the Illinois Racing Board or to the laboratory. This guard shall be a member of the Illinois Bureau of Race Track Police.

C. The state veterinarian shall keep in addition to the record placed on the jar containing the specimen, individual records showing date, sample number, track, race, horse, name of the owner, name of the trainer, name of witness, name of guard, name of transportation agent, when delivered to the chemist. The chemist shall keep individual records of the sample number, when received and results of analysis made thereof.

21.2 Sample Procedures—

Samples of saliva, urine or any other test substance shall be taken by persons appointed by the board under the supervision of the state veterinarian. During the taking of such tests the owner, or trainer, or their authorized agent may be present at all times. The sample taken shall be immediately sealed in a container and the evidence of such sealing be witnessed by the signature of the owner, or trainer, or their representative. The sample shall thereupon be forwarded with dispatch to the laboratory for chemical analysis and report to the board.

21.3 Positive Test—

Whenever there is a positive test finding the presence of any drug, stimulant, sedative or depressant, the laboratory shall immediately notify the state steward who shall immediately report such findings to the board and the USTA.

The state steward shall then suspend all persons having actual control over the horse involved pending an investigation and hearing by the judges. The judges shall report the results of their investigation to the board within a reasonable time. The board may then decide to suspend such persons, revoke their licenses, or prosecute under state statutes after reviewing the stewards' evidence and conducting a hearing.

21.4 Violators—

Whoever knowingly enters any horse in any race within a period of 24 hours after any hypnotic or narcotic or stimulant or depressant has been administered to such horse either internally or externally, or by hypodermic method, for the purpose of increasing or retarding the speed of such horse, is guilty of a felony and punishable by fine of not more than $5,000. or by imprisonment in a state prison or a county jail for not less than one or more than two years or by both fine and imprisonment, plus suspension for a fixed or indeterminate time, expulsion or both.

A. No medication shall be administered to a horse after 2 p.m. on the day preceding afternoon racing and after 8 p.m. on the day preceding night racing.

21.5 Assumed Responsibility—

Whenever the test or tests prescribed disclose the presence in any horse of any drug, stimulant, depressant or sedative, in any amount whatsoever, it shall be presumed that the same was administered by the person or persons having the control and/or care and/or custody of such horse with the intent to affect the speed or condition of such horse and the result of the race in which it participated.

21.6 Blood and its Derivitives—

No horse shall receive a transfusion of blood or its derivitives after 2 p.m. on the day preceding the afternoon race and after 8 p.m. on the day preceding night racing.

21.7 Refusal To Test Horse—

Any owner, trainer, driver, or agent of the owner, having the care, custody and/or control of any horse who shall refuse to submit such horse to a saliva test or other tests as herein provided or ordered by the judges shall be guilty of the violation of this rule.

21.8 Winnings Forfeited—

All winnings of such horse in a race in which an offense was detected under any section of this rule shall be forfeited and redistributed among the remaining horses in the race entitled to same unless it is clearly shown that the horse was not stimulated. No such forfeiture and redistribution of win-

nings shall affect the distribution of the pari-mutuel pools, when such distribution of pools is made upon the official placing at the conclusion of the race.

21.9 Private Practice Prohibited—

No veterinarians designated as officials at any race meeting shall practice their profession upon the grounds at such race meeting without special permission from the board, except however, such veterinarian may act in case of an emergency and only for so long as such emergency may exist.

21.10 Disposable Needles and Injectables—

Veterinarians practicing on a race track where a race meet is in progress or imminent shall use one time disposable needles and shall dispose of them in an approved manner.

A. No one, but a licensed veterinarian, may have a needle or syringe of any kind, type or description or an injectable drug on his person or in his custody, or in the control, custody or possession of any of his employees.

21.11 Veterinarian Reports—

Reportable in writing within 48 hours to the state veterinarian by the attending veterinarian and the trainer are:

A. Castrations, neurectomies, and all other major surgical procedure.

B. Contagious and "exotic" appearing diseases.

C. Expired horses.

21.12 Clean Equipment—

Paddocks, starting gates and other equipment subjected to contact by different animals must be kept in a clean condition and free from dangerous surfaces by management.

A. Sterile equipment must be used for collecting material for the saliva and blood tests. All types of instruments used on horses, including surgical, tattooing, dental and similar items, must be properly cleaned and sterilized by boiling for 15 minutes or autoclaving 15 minutes at 15 pounds pressure before use on each animal.

21.13 Penalties—

The penalty for violation of any section of the medication rules, unless otherwise provided, shall be a fine of not to exceed $5,000., suspension for a fixed or indeterminate time, or both, or expulsion.

RULE 22
FINES, SUSPENSION AND EXPULSION

22.1 Suspension Until Paid—

All persons who shall have been fined under these Rules and Regulations shall be suspended until said fine shall have been paid in full.

22.2 Fines And Penalties Recorded—

All fines and other penalties imposed by the judges or starter on any person or horse on the grounds of a track shall be recorded in the judges' book. Written or printed notice thereof shall be delivered to the person penalized; notice shall be posted immediately at the office of the track, and notice shall be forwarded immediately to the office of the USTA. All penalties imposed on a driver shall be recorded by track officials on the reverse side of the driver's USTA or Illinois license.

22.3 Definition of Suspension—

Whenever the penalty of suspension is prescribed in these rules, it shall be construed to mean an unconditional exclusion and disqualification from the time of receipt of written notice of suspension from privileges and uses of the course and grounds of a track during the progress of a race meeting, unless otherwise specifically limited when such suspension is imposed, such as a suspension from driving. A suspension or expulsion of either a husband or wife shall apply in each instance to both the husband and wife. The suspension becomes effective when notice is given unless otherwise specified.

22.4 No Right To Compete—

No horse shall have the right to compete while owned or controlled wholly or in part by a suspended, expelled, disqualified or excluded person. An entry made by or for a person or of a horse suspended, expelled or disqualified, shall be held liable for the entrance fee thus contracted without the right to compete unless the penalty is removed. A suspended, disqualified or excluded person who shall drive, or a suspended or disqualified horse which shall perform in a race shall be fined not less than $50., nor more than $100., for each offense.

22.5 Fraudulent Transfer—

The fraudulent transfer of a horse by any person or persons under suspension in order to circumvent said suspension, shall constitute a violation.

22.6 Track Enforcement Of Penalties—

Any track wilfully allowing a suspended, disqualified or excluded person to drive in a race, or a suspended or disqualified horse to start in a race or

a performance against time, after notice, shall be, together with its officers, subject to fine not exceeding $100. for each offense, or suspension of license.

22.7 Use of Track Grounds—

Any track wilfully allowing the use of its grounds by an expelled or unconditionally suspended person or horse shall be, together with its officers, subject to a fine not exceeding $500. for each offense or suspension of license.

22.8 Exclusion—

Whenever a person is excluded from a pari-mutuel track by the track, this board and the USTA shall be notified.

22.9 Track Officers—

An expelled, suspended, disqualified or excluded person cannot act as an officer of a track. A track shall not, after notice from the board, employ or retain in its employ an expelled, suspended, disqualified or excluded person. Any track found violating this rule shall be fined not to exceed $500.

22.10 Dishonored Check—

Any person who pays an entry, a fine or other claim to this board by a draft, check, order or other paper, which upon presentation is protested, payment refused or otherwise dishonored, shall be subject to a fine not exceeding the amount of said draft, check, or order. Said persons and horses shall be suspended until the dishonored amount and fine are paid.

RULE 23
PROTESTS AND APPEALS

23.1 Protests—

Protests may be made only by an owner, manager, trainer or driver of one of the contending horses, at any time before the winnings are paid over, and shall be reduced to writing and sworn to, and shall contain at least one specific charge which, if true, would prevent the horse from winning or competing in the race.

23.2 Testify Under Oath—

The judges shall in every case of protest demand that the driver and the owner or owners, if present, shall immediately testify under oath. In case of their refusal to do so, the horse shall not be allowed to start or continue in the race, but shall be ruled out, with a forfeit of entrance money.

23.3 Race Under Protest—

Unless the judges find satisfactory evidence to warrant excluding the horse, they shall allow him to start or continue in the race under protest and the premium, if any is won by that horse, shall be forthwith transmitted to the USTA to allow the parties interested an opportunity to sustain the allegations of the protest.

23.4 Frivolous Claim—

Any person found guilty of protesting a horse falsely and without cause or merely with intent to embarrass a race, shall be punished by a fine not to exceed $100. or by suspension or expulsion.

23.5 Appeals on Racing Questions—

All decisions and rulings of the state steward or judges of any race and of the officers of tracks may be appealed to the board within 10 days after notice of such decision or ruling. The appeal may be taken upon any question in the conduct of a race, interpretations of the rules, decisions relative to the outcome of a race, application of penalties or other action affecting owners, drivers, or horses, but it must be based on a specific charge which, if true, would warrant modification or reversal of the decision.

In order to make an appeal, a driver must have first made complaint, claim or objection as required in rule 18.

23.6 Change In Penalties—

The board may vacate, modify or increase any penalty imposed by the judges. In the event an appellant fails to appear at the hearing on his appeal without good cause, he may be fined not to exceed $100. or a suspension

not to exceed 30 days to be effective at the first meeting at which he has horses entered for racing.

23.7 Distribution of Pools—

Nothing herein contained shall affect the distribution of the pari-mutuel pools when such distribution is made upon the official placing at the conclusion of the heat or race.

23.8 Written, Sworn Appeals—

All appeals shall be in writing and sworn to before a notary or one of the judges of the meeting.

23.9 Funds Held By USTA—

In case of an appeal or protest, the purse money affected will be deposited with the USTA in trust funds pending the decision of the appeal.

23.10 Suspension Of Judge—

Any judge may be suspended for refusal to accept a protest or appeal or for refusing to act as witness for a person seeking to swear to a protest or appeal.

RULE 24
TIME AND RECORDS

24.1 Time—

In every race or performance against time, the time shall be accurately taken by one timer and an approved electric timing device and placed in the record as minutes, seconds and fifths of seconds. Upon the decision of each race the time shall be publicly announced or posted. No unofficial timing shall be announced or admitted to the record and when the timers fail to act, no time shall be announced or recorded for that race.

24.2 Error In Time—

In any case of alleged error in the record, announcement, or publication of the time made by a horse, the time so questioned shall not be changed to favor said horse or owner except upon the sworn statement of the judges and timers who officiated in the race.

24.3 Leading Horse Timed—

The leading horse shall be timed and his time only announced. No horse shall obtain a win race record by reason of the disqualification of another horse unless a horse is declared a winner by reason of the disqualification of a breaking horse on which he was lapped.

24.4 Dead Heat—

In case of a dead heat, the time shall constitute a record for the horses making the dead heat and both shall be considered winners.

24.5 Misrepresentation Of Time—

Any person who shall be guilty of fraudulent misrepresentation of time or the alteration of the record thereof in any public race or performance against time shall be fined, suspended or expelled, and the time declared not a record.

24.6 Time Performance—

A record can be made only in a public race or performance against time, the horse to trot or pace the distance published according to rule. The word must be given by a licensed starter or presiding judge. The time must be taken by an approved electric timing device and one timer. The record of the race shall be signed by the judges, timer and starter. A saliva and urine test shall be taken of all winning horses that start in a performance against time.

24.7 Judges At Timing—

There shall be three judges in the stand during such performance, who shall not act as official timers.

24.8 Time Schedule—

No performance against time shall be earlier than 10 a.m.

24.9 Race Against Record—

In performances against time, the horse must start to equal or beat a specified time, and a losing performance shall not constitute a record.

24.10 Accompaniment Permitted—

When a horse performs against time, it will be allowed accompaniment by any other horse in the performance, but not to precede or be harnessed with or in any way attached to him. If an auto, jeep, truck or other vehicle is used, the occupant or occupants of the accompanying vehicle shall not blow the horn, shout, strike the vehicle, or make any other noise aimed at scaring the horse to a greater effort.

24.11 Number Of Time Trials—

In performances against time, the horse must start to equal or beat a specified time, and a losing performance shall not constitute a record. three trials and the fastest winning time made by him shall be his record.

Rule 25
SECURITY AND ADMISSIONS

25.1 Stable Enclosures Fenced—

All stable enclosures must be properly fenced and all race track operators shall control entries and departures to said area. A watchman shall grant entry only to those persons properly identified. A record shall be kept of all visitors lacking proper credentials. They shall be admitted only after approval is given by the state steward or racing secretary.

25.2 Report Of Arrival And Departure Of Horses—

All horses arriving or leaving a race meeting must be registered with a gateman. Upon entering, a horse's health certificate must be turned in at the gate.

A. The parture slip must be signed by the racing secretary before horses can be moved off the grounds. This slip must be collected by the gateman.

25.3 Stable Area Security—

Each race track operator shall furnish gatemen and night watchmen for all stable enclosures. The operator shall furnish to the state steward a complete tabulation showing name, duty, place stationed and portions of enclosures supervised by such gatemen and night watchmen. In the event horses are stabled outside the race track, that are eligible to race at a meeting of the race track operator, the same precautions apply.

A. The race track operator shall also employ a sufficient number of guards from the Illinois Bureau of Race Track Police to patrol the stable areas and make investigations.

B. A guard shall be hired from the Race Track Police to stand watch at the State Detention Barn and to transport samples to the State Testing Laboratories.

25.4 Policing Of Premises—

The race track operator shall provide a sufficient number of guards from the Bureau of Race Track Police and also watchmen to maintain order on all parts of the racing enclosure. No tipsters shall be allowed on any part of the licensed premises. No groom or stable attendant shall loiter in the betting ring or any place else with the evident intention of making tips for remunerations or for free. Anyone so found shall be brought to the stewards and his identification shall be taken up. Said offender will then be excluded from the premises. A written report shall be made by the stewards to said offender's employer; any employer continuing to harbor or employ any such person so reported will be suspended, at the discretion of the stewards.

25.5 Admission To Parts Of Premises—

Only the following listed persons shall be entitled to enter the stable area of a race track operator: members, officers and employees of the board who must show their identification cards; management and employees performing duties therein; racing officials; police officers; owners, trainers, grooms and others performing official duties in the stable area and who possess a valid license from the board.

Any person violating this rule shall be evicted from the licensed premises by the race track operator and thereafter denied admission as a patron or otherwise to any portion of the licensed premises.

25.6 Identification Cards And Badges—

The state license office shall issue identification buttons or cards to those persons entitled to enter the stable area. The button shall be worn openly at all times while performing duties in the area. The identification card shall be displayed upon request of security guards and watchmen.

An identification card or button may be taken up by direction of the state steward upon reasonable cause.

25.7 Admission Statements—

Each race track operator must prepare daily an itemized, certified admission statement showing:

A. A summary, separating clubhouse and grandstand, showing number of fully paid admissions, complimentary admissions, service charge tickets and tax free admission for officials, corporation officers, press, horsemen, employees, racing officials and/or other persons entering on tax exempt admissions; also, the total amount from fully paid tickets, from complimentary or service charge tickets, and all other amounts received on account of admissions or taxes and the totals therefrom of the amount due the federal government and the amount due the state on account of the 40 cents admission ticket tax.

B. Such summary shall also include a report of the daily turnstile reconciliations with the actual count using number and location of turnstiles, with notations listing discrepancies, if any.

25.8 State Admissions Tax—

The race track operator shall pay to the board at such time or times as the board shall prescribe, the sum of 40 cents for each person entering the grounds or enclosure of the race track operator upon a ticket of admission. If tickets are issued for more than one day, then the sum of 40 cents shall

be paid for each person using such ticket each day that the same shall be used. Provided that no charge be made on tickets issued to and in the name of directors, officers, agents or employees of the race track operator, or to owners, trainers and drivers and their employees, or to any person or persons entering the grounds for the transaction of business in connection with such race meeting. Provided further that no charge be made on tax exempt tickets of admission issued by the board.

25.9 Admissions Records—

The race track operator shall keep accurate books and records showing total attendance, admissions, both paid and complimentary, the number of taxable and tax free admissions and the gross receipts from admissions for each racing day of a meeting. These books and records shall be open to the board and its duly authorized representatives for examinations and checks to ascertain the amount of taxes due and whether or not such taxes have been paid.

A. All such reports shall be delivered to the board before 2 p.m. on the following day, when day programs are run, and before 6 p.m. on the following day, when night programs are run. The weekly reports previously mentioned shall be a complete consolidation of all daily reports.

25.10 Board Approval Of Tickets And Credentials—

The secretary of the board must approve all race track operators' proposed tickets and credentials before an order can be placed with a printer or supplier.

A. All tax exempt tickets or credentials of admission for a race meeting shall be designed to include a serial or control number and code letter or number designating classification. These credentials and/or tickets are to be designed so that they cannot be used for admission more than once on any one racing day and applicable only to the current racing day.

B. All tax exempt tickets and credentials shall carry in bold type: "Not transferable and will be revoked if transferred."

C. The following shall be printed on all taxable admission tickets and credentials including complimentary, service charge and/or reduced rates of admission: "state tax 40 cents."

25.11 Credential And Ticket Specimens—

The operator shall require each printer or other supplier to file with the board certified copies of all manifests, showing serial number and the various types of admission tickets and credentials, including tax exempt and taxable, furnished to the track immediately after they are ordered.

A. Each operator must file a certified gate card, showing in complete

detail specimen tickets and credentials, with the secretary of the board at least 10 days before the opening of a race meeting. Said tickets and credentials must be approved by the board secretary before they can be sold or distributed by the operator.

25.12 Tax Exempt Credentials—

The racing secretary shall issue tax exempt credentials of admissions only to those persons showing a current license or receipt therefore, and such others as may be authorized by the secretary of the board.

A. The racing secretary shall compile alphabetical group listings of all tax exempt credentials by classifications authorized by the board to be issued showing the following: serial number of credential issued, correct name, kind of license in evidence with number of license or number from license fee receipt. One complete report must be filed with the board on or before 10 days after opening date of a race meeting, and an additional report for the remainder of the meeting must be filed on closing day.

B. Designated employees and officials of the race track operator may issue tax exempt credentials for employee admission, subject to requirements, restrictions and limitations as set forth in each respective classification as follows:

1. Regular employee's tax exempt admission credentials shall be issued only to persons directly on the operator's payroll, and actively employed during the race meeting.
2. Concessionaire tax exempt admission credentials shall be issued only to persons actually on concessionaire payroll and working during the race meeting. Concession tax exempt employee credentials shall be issued only with the use of a large round identification button, provided by the concessionaire, showing the employee's concession number and name. Said button to be attached to garment and prominently worn.

C. Designated employees and officials of the operator and in behalf of the operator, must file requisitions with the secretary of the board in order to obtain authorization for the issuance of tax exempt tickets or credentials of admission to members of the working press, service employees, officials, and to persons having official business at the track during a race meeting. Said requisition prescribed by the secretary of the board shall be submitted in duplicate under the signature of the head of the department along with duplicate listing of passes requested, and shall be subject to approval by the secretary of the board.

D. The following requirements, restrictions and limitations shall be observed in the issuance of tax exempt admission credentials in the classification as hereinafter set forth.

1. Service employee's tax exempt admission credentials shall be issued only to persons actually engaged in providing service at a race meeting for a contractor, service company, public utility or others employed during a race meeting. Request for credentials must be made on purveyor's official stationary under duly authorized signature setting forth name of each such employee, duties and justification for each pass requested.
2. Business tax exempt admission credentials may only be issued to persons having official business at the track during racing hours and not classified as service employees. Requests for such credentials must be made on company or agency official stationary under the signature of ranking official, setting forth the name of each person for whom a pass is requested, along with duties and justifications.
3. Press tax exempt credentials may only be issued to members of the working press when requested on the publications' official stationary under the signature of the editor or manager. All requests shall be subject to limitations based on circulation.
4. Official tax exempt credentials may only be issued to corporate officials and directors of the track, racing officials and to such others which are supported with proper justifications.

25.13 Tax Exempt Credentials Report—

The operator must file reports with the board containing all information relative to the issuance of tax exempt credentials or other evidence of right to enter grounds. These reports shall include:

A. Classification or type of ticket or credential.

B. The name of pass holder.

C. Serial number of pass.

D. The duties or official business of each pass holder.

One complete report must be filed with the board on or before 10 days after opening date of the race meeting and an additional supplementary report for the remainder of the meeting must be filed on the closing day. In the event that the board employees have custody of tax exempt tickets and credentials and issuance of tickets or credentials is supported by requisitions prescribed by the secretary of the board, at the discretion of the secretary, the filing of the above mentioned reports may be waived.

A summary shall be prepared by the operator and/or employees of the board indicating by classification the amount of tax exempt tickets or credentials printed, issued, voided and on hand in each instance. Said summaries are to be submitted to the board on the closing day of each racing meet.

25.14 Track Responsible For Credentials—

The operator and his duly appointed issuing agents and/or employees issuing tax exempt credentials in behalf of the board shall be held accountable for all tax exempt tickets and credentials received, issued, voided and on hand until the final audit has been completed by representatives of the board.

A. All unissued and voided tax exempt tickets and credentials shall be retained until they are released by the secretary of the board. Upon release, they are to be destroyed by burning.

25.15 Board Access To Records—

Representatives of the board shall have access to all payrolls and other supporting evidence to verify the eligibility of all holders of tax exempt tickets and/or credentials.

A. The operator shall be subject to the payment of 40 cents state tax on all disallowed tax exempt tickets or credentials, issued, requisitioned or unaccounted for by the operator. Additional assessment for unaccounted and disallowed tax exempt tickets or credentials will be computed on the basis of 40 cents for each such ticket multiplied by the number of racing days granted to the operator or on a daily usage basis if certified to by a Certified Public Accountant.

25.16 Turnstiles—

All gates must have turnstiles equipped with meters and such turnstiles must be numbered consecutively or have other means of individual identification. A daily turnstile reconciliation is to show a beginning reading and final reading of each turnstile, the total admitted and also a classified breakdown of all types of admittance. The operator must employ methods, subject to approval of the board, to record serial numbers and code letters or numbers each day a tax exempt ticket or credential is used for admittance in order to substantiate all tax exempt admissions.

25.17 Admission To Track—

No person shall be admitted to any race track after the admission gates have been manned and until the sixth race is run, except by tax exempt ticket or credentials or the payment of 40 cents state tax.

A. The operator must employ methods and procedures in coordination

with the start of manning admission gates each day to conduct a thorough check of admission enclosure areas allowing only those persons to remain who produce tax exempt credentials of admission. All others shall be escorted to the gate and readmitted only through turnstiles on admissions subject to 40 cents state tax.

25.18 Revocation Of Credentials—

It shall be the duty of each operator to revoke and take up all tax exempt tickets and/or credentials immediately of all employees who have been terminated or leave employment and all others whose admission credentials have been revoked by the track operator, and/or the board. All such tickets and/or credentials shall be submitted to the board's admission revenue representative.

A. Two copies of each revocation order, prescribed by the board, duly signed by the head of the department authorizing revocation, shall be submitted to the board's admission revenue representative. Said order to state reasons for revocation.

RULE 26
PARI-MUTUELS

26.1 Equipment—

Race track operators are required to use Totalisator equipment or its equivalent.

A. The controls necessary to operate the odds board in the infield, relative to the way the horses finish, (if the finish is being contested, if there is a photo, dead heat, time of race) are to be located in the judges' stand and controlled only by the state steward, presiding judge or one associate judge designated to do so.

26.2 Equipment Tests—

The race track operator shall test its Totalisator, or similar equipment, at the opening of each racing day, said test to be made under the supervision and direction of the Illinois Racing Board or such agents as the board may appoint.

26.3 Definitions For Pari-Mutuel Rules—

For the purpose of pari-mutuel betting, all races are to be considered separate and distinct.

A. Wagering shall be prohibited on more than 10 races during the course of a single racing day, unless special permission is granted by the Illinois Racing Board.

26.4 Privilege Tax—

Each day's privilege tax imposed by section 19 of the Illinois Harness Racing Act shall be remitted to the board by the race track operator by check or bank draft within 48 hours after close of the racing day.

26.5 Sale Of Pari-Mutuel Tickets—

Only one method of selling pari-mutuel tickets shall be used on individual races during any racing day.

A. No pari-mutuel tickets shall be sold except through regular ticket windows properly designated by signs showing type of tickets sold at that particular window.

B. No pari-mutuel tickets shall be sold on any race prior to 30 minutes before scheduled offtime of that race, with the exception that ninth race and tenth race tickets may be sold upon completion of the fifth race.

C. Book making or betting other than pari-mutuel betting is strictly prohibited.

D. No minor shall be allowed to bet.

E. The state steward shall lock all pari-mutuel ticket issuing machines and sound the off bell when the starting gate is rolling one-sixteenth mile from the starting point, but not later than the advertised post time, except that the state steward may delay the locking of the ticket selling machines in emergency circumstances.

F. When the sale of pari-mutuel tickets has closed, it shall remain closed until after the race has finished.

G. No pari-mutuel ticket shall be sold for less than $2. No pari-mutuel ticket combining win and place, win and show, or place and show, shall be sold for less than $4. No pari-mutuel ticket combining win, place and show shall be sold for less than $6.

H. The method of selling pari-mutuel tickets shall be approved by the board.

I. The racing secretary shall report the number of horses that will compete in the race to the manager of the pari-mutuel department prior to the beginning of wagering on each race.

J. If less than six interests qualify horses to start in a race, the manager of the pari-mutuel department, with the consent of the state steward, shall be permitted to prohibit show wagering on that race.

K. If less than five interests qualify horses to start in a race, the said manager, with the consent of the state steward, shall be permitted to prohibit both place and show wagering on that race.

L. If less than three interests qualify horses to start in a race, the said manager, with the consent of the state steward, shall be permitted to prohibit wagering on that race.

M. The said manager, with the consent of the state steward, may prohibit wagering on any particular horse or entry in any race. Such consent shall be sought by the manager of the pari-mutuel department from the state steward after the entries are closed on the day previous to that in which said races in which exclusions are desired are to be conducted. Such exclusions, if consented to by the state steward, shall be clearly indicated on the program or score card and horses excluded shall be numbered so as to in no way infer that they are coupled in "the field." Horses once excluded from the betting shall remain excluded during the day or race in which they are scheduled to start.

N. When more horses representing separate interests are started in a race than the number of post positions on the infield tote board, all horses in excess of a number of interests one less than the total number of post

positions of the infield tote board shall be grouped in the betting as "the field."

O. A refund at cost value shall be made to all holders of a purchase ticket bearing the number of a horse in any race which has been scratched or withdrawn before said horse has become a starter in the race under the rules.

26.6 Payments—

The minimum pari-mutuel payoff by any race track operator conducting pari-mutuel wagering shall be $2.10 on each winning $2. wager. This shall pertain only in the event there is insufficient money available for a specific wager in the net pari-mutuel pool to return $2.20 on each $2. wager. Each operator shall print a copy of this rule on each day's racing program.

A. The method and manner of selling pari-mutuel tickets shall be approved by the board. The board's approval shall include the number of windows, the distribution of windows and the manner and denominations in which pari-mutuel tickets shall be sold.

B. At the end of each race, the judges shall advise the manager of the pari-mutuel department and the state steward by the use of Tote equipment or telephone of the official placement of the horses, and no pay-offs shall be made until the receipt of such notice.

C. If a horse wins and there is no money wagered on him to win, the win pool shall be apportioned among the holders of win tickets on the horse next in the order of the official finish.

D. If no money has been wagered to place on a horse which is placed first or second in a race, the place pool for that race shall be apportioned among the holders of the place tickets on the other horse which was placed first or second.

E. If no money has been wagered to show on a horse which has placed first, second or third in a race, the show pool in that race shall be apportioned among the holders of show tickets on the other horses which are placed first, second or third in that race.

F. In the event that only two horses finish in any one race, the show pool shall be figured the same as the place pool and the monies apportioned to the holders of show tickets on the two finishing horses. In the event only one horse finishes in any one race, all three pools shall be figured separately as straight pools and all the monies shall be awarded to the ticket holders of the finishing horse. In the event no horse finishes the race, then the entire pool shall be refunded to all ticket holders.

G. If two horses finish in a dead heat for first place, the division of the

money in the win pool is between the two dead heaters according to their proportionate shares in the pool.

H. If two horses finish in a dead heat for second place, the division is made as follows: there shall be allotted to the pool of the winner of the race one-half of the place pool and the two dead heaters one-half each of the remaining half of the place pool.

I. If two horses coupled in the betting as an "entry" or the "field" finish first and second, first and third, or second and third, the division of the net show pool shall be as follows: two-thirds of the net show pool shall be allotted to the pool of the entry and the balance one-third to the other horse.

J. In the event that one horse of the entry or the field finishes first or second and the other part of the entry or field finishes in a dead heat for third with another horse, the division of the net show pool shall be as follows: one half of the net show pool shall be allotted to the pool of the entry, one-sixth to the horse finishing in the dead heat, with the entry for the third.

K. If the entry or field horses should finish first, second and third, the entire money in each pool goes to the entry or field tickets, no other tickets participating.

L. No mutilated pari-mutuel ticket that is not easily identifiable as being a valid ticket shall be accepted for payment.

M. No claims for lost pari-mutuel tickets shall be considered.

26.7 Quinella Rules—

Each operator shall either print in the daily program or prominently post at all areas where Quinella wagering is conducted, the following Quinella conditions:

A. Positively no exchange of tickets after purchaser thereof has left the sales window.

B. The Quinella is not a parlay and has no connection with or relation to the "Tote" betting. All tickets on the Quinella will be calculated in an entirely separate pool.

C. Selections are to be made by program number and not by handicap rating number. Large numbers appearing on the Quinella ticket are the program numbers of the horse.

D. All tickets shall be retained until the result of the Quinella has been posted.

26.8 Win Or Place—

All Quinella tickets will be for the win and place combination only.

26.9 Winning Quinella Combination—

The winning Quinella combination shall be the first two horses to finish the race. The order in which the horses finish is immaterial, except in the event that the first two horses to finish comprise an entry. Then the third horse to finish along with the horses comprising the entry shall be the winning combination. Entries shall run as a single number with either or both horses representing that number at the finish. All tickets on the Quinella will be calculated in an entirely separate pool.

26.10 Scratched Or Excused Horses—

Should any horse or horses entered in a Quinella race be scratched or excused by the stewards after wagering has commenced or should any horse or horses be prevented from racing because of the failure of the stall doors or the starting gate to open, all tickets including such horse or horses shall be deducted from the Quinella pool and money refunded to the purchasers of tickets on the horse or horses so excused or prevented from racing.

26.11 Individual Winners—

Should there be no tickets sold on the winning combination in a Quinella race, any and all Quinella tickets bearing the number of the individual win horse and any and all Quinella tickets bearing the number of the individual place horse shall be deemed winning tickets and the payoff shall be calculated as a place pool.

26.12 Win Pool Division—

Should there be no tickets sold on the winning combination in a Quinella race and should there be no Quinella tickets sold with the number of the individual win horse, any and all Quinella tickets bearing the number of the individual place horse shall be deemed winning tickets and the payoff shall be calculated as a win pool.

A. Should there be no tickets sold on the winning combination in a Quinella race and should there be no Quinella tickets sold bearing the number of the individual place horse, any and all Quinella tickets bearing the number of the individual win horse shall be deemed winning tickets and the payoff shall be calculated as a win pool.

B. Should there be no tickets sold on the winning combination in a Quinella race and should there be no Quinella tickets sold bearing the number of the individual win horse and should there be no Quinella tickets sold bearing the number of the individual place horse, the Quinella shall be deemed "no race" and all monies in the Quinella shall be promptly refunded.

26.13 Only One Horse Finishes—

Should, after an official start is effected, only one horse finish the Quinella race the total money is figured as a win pool, with those who have picked that one horse in the race participating in the pool.

26.14 Dead Heats—

Should a two horse dead heat for win result in a Quinella race, the two horses involved in the dead heat shall be the winners of the Quinella race.

A. Should a multiple dead heat for win result, all horses involved in the dead heat shall be winners of the Quinella race and payoffs figured accordingly.

Example: Should numbers 1, 3, 5 and 7 dead heat for win, the winning Quinella combinations would be 1-3, 1-5, 1-7, 3-5, 3-7 and 5-7. The net pool, after deducting the amounts wagered on the winning combinations will be equally distributed in payoff calculations on the winning combinations.

B. Should a two horse dead heat for place result in a Quinella race, the total pool is calculated as a place pool.

C. Should a multiple dead heat for place result in a Quinella race, all combinations coupling the winning horse with the individual place horses shall be winners of the Quinella race and payoffs calculated accordingly.

26.15 Daily Double Rules—

Each operator shall print a copy of this rule on each day's racing program to read as follows:

A. Positively no exchange of tickets after purchaser thereof has left the sales window.

B. The Daily Double is not a parlay and has no connection with "Tote" betting. All tickets will be calculated in an entirely separate pool.

C. Ticket sales close not later than post time of the first race of the Daily Double.

26.16 Winning Daily Double Combinations—

All Daily Double tickets will be to win (straight) only. Entries or field horses run as one in Daily Double races.

A. Selections are to be made of one horse for each of two races in the Daily Double by program number, and not by handicap rating number. Large numbers appearing on the tickets are program numbers of the horses.

26.17 Pool Divisions—

If no winning combination is sold, the total money is figured as a place

pool with those who have picked the winner of the first race and those who have picked the winner of the second race participating in the pool.

A. If no ticket is sold on the winner of the second race, the entire pool is apportioned to holders of the tickets on the winner of the first race.

B. If no ticket is sold on the winner of the first race, the entire pool is apportioned to the holders of tickets on the winner of the second race of the Daily Double.

C. If a dead heat should result in either the first or second race, the total pool is figured as a place pool.

Example: Should numbers 8 and 5 dead heat in the first race, and number 3 wins the second race, the pool would be divided and apportioned to tickets bearing numbers 8 and 3 and numbers 5 and 3.

D. In the event of a dead heat to win in both races, four winning combinations result. The amounts wagered on the four winning combinations should be deducted from the net pool and the remainder divided into four equal parts and each part in turn divided by the four amounts wagered on each winning combination, resulting in the payoff equivalent to each dollar wagered in each instance.

E. Should no ticket be sold containing the numbers of either winner, the pool shall be allotted to those having tickets on horses finishing next to the winners.

26.18 Scratches—

Should any horse or horses entered in the first or second half of the Daily Double be scratched, excused by the stewards, before the race in the first half of the Daily Double is run, or should any horse or horses be prevented from racing because of the failure of the stall doors or the starting gate to open in the first half of the Daily Double, all tickets including such horse or horses shall be deducted from the Daily Double pool and money refunded to the purchaser or purchasers of tickets on the horse or horses so excused or prevented from racing.

A. After the first race has been run, should any horse or horses be scratched, excused by the stewards, or prevented from racing and because of the failure of the stall doors or the starting gate to open in the last half of the Daily Double, all tickets including such horse or horses, shall be deducted from the pool, and the pool or pools thus formed shall be distributed as a straight pool or pools to the holders of tickets combining the winner of the first half with the horse or horses, so prevented from completing the Daily Double. If no ticket is sold combining the winner of the first half with the horse or horses so prevented from completing the

Daily Double, the pool formed as above provided, shall be distributed as a straight pool to holders of tickets combining the horse finishing second in the first half with the horse or horses prevented from starting.

26.19 Payoffs—

The possible payoff prices shall be posted or announced to the public before the start of the last race of the Daily Double, and as soon as possible after the horses in the race of the last half of the Daily Double have entered upon the race track on the way to the post.

A. Tickets should be retained until the results have been posted.

26.20 Exacta (Perfecta) Rules—

Each operator shall either print in the daily program or prominently post at all areas where Exacta wagering is conducted, the following Exacta conditions:

A. An Exacta ticket combines two horses in a single race, selecting which horse will finish first and which will finish second in that race. Payment shall be made only on tickets selecting the same order of finish as officially posted.

B. The Exacta is not a parlay and has no connection with or relation to the "Tote" pools and will be calculated as an entirely separate pool.

C. If no ticket is sold on the winning combination, the net pool shall be distributed equally between holders of tickets selecting the winning horse to finish first and/or holders of tickets selecting the second place horse to finish second.

D. If no ticket is sold that would require distribution of an Exacta pool to winners as above defined, the operator shall make a complete and full refund of the Exacta pool.

E. In case of a dead heat between two horses for first place, the net pool shall be calculated and distributed as a place pool to holders of tickets of the winning combination(s). In case of a dead heat between two horses for second place, the pool shall be figured as a place pool, the holders of tickets combining the winning horse and the two horses finishing second participating in the payoff.

F. In the event of a dead heat for second place, if no ticket is sold on one of the two winning combinations, the entire net pool shall be calculated as a win pool and distributed to those holding tickets on the other winning combination. If no tickets combine the winning horse with either of the place horses in the dead heat, the pool shall be calculated and distributed as a place pool to holders of tickets representing any interest in the net pool.

G. Entries are not allowed in races where Exacta wagering is permitted.

26.21 Big "Q" Rules—

Each operator wishing to conduct Big Q wagering must first petition the board for permission to do so.

A. Each operator shall either print in the daily program or prominently post at all areas where Big Q wagering is conducted the complete rules for Big Q wagering as set forth in the following sections.

B. The Big Q consists of selecting the Quinella (the first two horses to finish) of each of two consecutive races. Pari-mutuel wagering tickets are to be sold upon the first race of the two races only. The division of the pool shall be calculated as in a straight pool, subject to provisions of these rules to the contrary.

C. No entries or field horses shall be allowed to start in any race comprising the Big Q.

D. Tickets shall be sold only at Big Q windows and only from automatic double issuing machines.

E. Each bettor purchasing tickets shall designate his two selections as the first two horses to finish in the first race of the two races.

F. After the official declaration of the first two horses to finish the first of the Big Q races, each bettor holding a ticket combining the said two horses to finish must, prior to the running of the second race, exchange such winning ticket for a Big Q exchange ticket at the Big Q windows and at such time the said holder shall select the first two horses to finish in the second race of the Big Q. No further money shall be required of the holder of the ticket in order to make the exchange.

G. No Big Q exchange ticket upon the second race shall be issued except upon the surrender of the Big Q ticket from the first race as described in these sections. The Big Q pool obtained from the sales of Big Q tickets upon the first race shall be held subject to these sections, and divided among the winning tickets of the Big Q exchange tickets, subject to these sections to the contrary. Big Q windows shall be open for the purpose of making the exchange as described only after the first race has been declared official and such windows shall close at post time at the start of the second race of the Big Q races.

H. If a winning Big Q ticket from the first race is not presented for exchange within the time provided, the bettor forfeits all rights to any distribution or refund except in the event the second half of the Big Q is cancelled or declared "no race" or if no exchange ticket includes either the

first or second horse of the second half of the Big Q.

26.22 Scratches—

If a horse is scratched in the first race, all Big Q tickets on the scratched horse will be refunded.

A. If a horse is scratched in the second race, the holders of tickets on the scratched horse will be entitled to exchange their tickets for another selection. In the event of a late scratch, after the exchange windows have been closed, all exchange tickets combining the scratched horse shall become consolation tickets and shall be paid a price per dollar denomination calculated as follows: the net Big Q pool (gross pool less commission) shall be divided by the total purchase price of all tickets combining the winnings of the first race of the Big Q. The quotient thus obtained shall be the price to be paid to holders of exchange tickets combining the scratched horse in the second race of the Big Q. The entire consolation pool (number of eligible tickets times the consolation price) plus the breakage shall be deducted from the net Big Q pool.

26.23 Winning Combinations—

If no ticket is sold as a winning combination in the first race of the Big Q, the Big Q pool shall be divided among those having tickets including the horse finishing first or second and such distributions shall be calculated and made as a place pool. In such an instance, the Big Q race shall end and the pool be closed for the day.

A. If no Big Q exchange ticket is sold on the winning combination, the net pool shall be apportioned equally between those having tickets including the horse finishing second in the same manner in which a place pool is calculated and distributed.

B. If a Big Q exchange ticket combines only one of the winners and no Big Q exchange ticket combines the other winner, the entire pool shall be distributed as a straight pool to the holders of those tickets.

C. If no exchange ticket includes either the first or second horse of the second half of the Big Q, the entire net pool will be distributed as a straight pool to all holders of exchange tickets and winning combinations of the first half that have not been exchanged.

26.24 Dead Heat—

In the event of a dead heat for place in the first race of the Big Q races, all Big Q tickets combining the first horse and either of the place horses shall be eligible for exchange for Big Q exchange tickets.

A. In the event of a dead heat for place in the second race of the Big Q races, the pool will be divided, calculated and distributed as a place pool

to the holders of Big Q exchange tickets combining the first horse and either of the place horses. In the event of the dead heat to place and there are no tickets sold on one combination, then the other combination having winning horses shall be declared the winner.

B. If no exchange tickets combine the winning horse with either of the place horses in the dead heat, the Big Q pool shall be calculated and distributed as a place pool to holders of tickets combining either of the place horses, however, if any exchange tickets combine both horses in the dead heat for place, the Big Q pool shall be calculated and distributed as a place pool to holders of such tickets.

26.25 Races Not Run:

If for any reason the first race of the Big Q races is cancelled or declared "no race" full and complete refund shall be made from the Big Q pool.

A. If for any reason, the second of the Big Q races is cancelled or declared "no race" the pool shall be calculated as a straight pool and shall be distributed among the holders of tickets combining the first two horses of the first race of the Big Q otherwise eligible for Big Q exchange tickets and also distributed to holders of the Big Q exchange tickets.

B. If there is a dead heat for the winning horses in either of the two consecutive races for the Big Q such calculation of distribution of the Big Q pool be made in the manner in which any ordinary Quinella pool would be made should there be a dead heat for the win despite the number of horses involved in the dead heat.

26.26 Incorrect Tickets—

In the event that an incorrect exchange ticket is issued during the second half of the Big Q pool, such incorrect exchange ticket must be turned into the State Auditor prior to the running of the second half. Said tickets shall be deducted from both exchange and individual combination totals. The ticket shall be voided and filed with the performance worksheets and a report, including the seller's name and license number, shall be made to the board of the complete incident.

26.27 Big P Rules—

The Big P consists of selecting two horses that will finish first and second in each of two consecutive races in the exact order as officially posted.

A. Big P tickets shall be sold only at Big P windows by the operator and only from automatic double issue machines.

B. Each bettor purchasing Big P tickets shall designate his two selections as the first two horses to finish in that order in the first race of the two consecutive races.

C. After the official declaration of the first two horses to finish in the first race of the Big P, each bettor holding a ticket combining the first two horses in the exact order of finish must, prior to the running of the second Big P race, exchange such winning ticket for a Big P exchange ticket at the Big P windows and at such time shall select the two horses to finish in the second race in the exact order as officially posted. No further money shall be required of the holder of the tickets in order to make the exchange.

D. No Big P exchange ticket upon the second race shall be issued except upon the surrender of the Big P ticket from the first race as described in these rules. The Big P pool obtained from the sales of Big P tickets upon the first race shall be held, subject to these rules, and divided among the winning tickets of the Big P exchange tickets. Big P windows shall be open for the purpose of making the exchange as described only after the first race has been declared official and such windows shall close at official post time at the start of the second race of the Big P races.

E. If a winning Big P ticket from the first race is not presented for exchange within the time provided, the bettor forfeits all rights to any distribution or refund except in the event the second half of the Big P is cancelled or declared "no race" or if no exchange ticket includes either the first or second horse of the second half of the Big P.

26.28 Scratches—

If a horse is scratched in the first race, all Big P tickets on the scratched horse will be refunded.

A. If a horse is scratched in the second race, the holders of tickets on the scratched horse will be entitled to exchange their tickets for another selection.

B. In the event of a late scratch after the exchange windows have been closed, all exchange tickets combining the scratched horse shall become consolation tickets and shall be paid a price per dollar denomination calculated as follows: the net Big P (gross pool less takeout, divided by the total purchase price of all tickets combining the winners of the first race.) The quotient thus obtained shall be the price to be paid to holders of exchange tickets combining the scratched horse in the second race. The entire consolation pool (number of eligible tickets times the consolation price) plus the breakage shall be deducted from the net Big P pool.

26.29 Pool Divisions—

If no ticket is sold as a winning combination in the first race, the Big P pool shall be divided among those having tickets including the horse finishing first or second and such distributions shall be calculated and made as a place pool. In such an instance, the Big P race shall end and the pool be closed for the day.

A. If no Big P exchange ticket is sold on the winning combination, the net pool shall then be apportioned equally between those having tickets including the horse finishing first and those having tickets including the horse finishing second in the same manner in which a place pool is calculated and distributed.

B. If a Big P exchange ticket combines only one of the two winners and no Big P exchange ticket combines the other winner, the entire pool shall be distributed as a straight pool to the holders of those tickets.

Rule 2.22 Minimum Age

Except for summer employment, properly certified by school authorities, and in such other cases in the discretion of the Stewards, no person who has not attained the age of sixteen years shall be employed within the confines of any race track operator by said operator or by any owner, trainer, or other licensee, licensed by the Board.

passed 6/11/73

2.23 Licensed Concessionaire

No license shall be issued to conduct a racing meeting unless, prior to the commencement of such meeting, the applicant for such license has obtained the services of a concessionaire licensed by the Board to provide adequate food and drink services for race track patrons or is providing such services itself.

Passed 1/11/74

Rule 5.05 (Harness)
Written Disclosure

Prior to November 1 of any year, all officers, directors, creditors, and substantial owners of any beneficial interest of every association, corporation or other business entity desiring to hold or conduct a race meeting within the State of Illinois during any calendar year shall make a written disclosure on forms prescribed by the Board for approval of their participation in racing in the State of Illinois. Said forms shall be submitted under oath as prescribed in the form. A person owning 5 per cent or more of the equity of any applicant for racing dates shall be considered a substantial owner for the purposes of this rule. A person extending credit for more than one year or extending credit in excess of $10,000 for less than one year, but more than 30 days, shall be considered a creditor for purposes of this rule, provided that a horseman to whom moneys are owed as reflected in accounts maintained by the horsemen's bookkeeper shall not be considered a creditor.

Rule 5.05A (Harness)
Written Disclosure for Corporations

Where a corporation owns 25 per cent or more of the equity of an applicant for dates, holders of 10 per cent or more of its capital stock shall make written disclosure under these Rules and Regulations on the forms prescribed; provided, however, that this rule shall not apply to any corporation, the securities of which are registered with the Securities and Exchange Commission pursuant to the Securities Act of 1933 and the rules and regulations issued thereunder. Corporations qualifying under this exemption shall file with the Board copies of all papers filed with the Securities and Exchange Commission.

Rule 5.06 (Harness)
Notice of Changes

Within 10 days after election, appointment, acquisition of substantial ownership, or extending of credit, the Board shall be notified of the identity of each new officer, director, substantial owner, or creditor of a race track operator. Each new officer, director, substantial owner, or creditor shall make a written disclosure to the Board on forms prescribed by the Board for approval of their participation in racing in Illinois.

9/11/73

§ 5.11 Horse Ambulance

There shall be a horse ambulance at all race tracks under the jurisdiction of the Board for the safe and expedient removal of crippled animals from the track. Horse ambulances must be equipped with a screen for use when an animal must be destroyed in view of the general public, a winch to lift dead or injured animals on to the ambulance, and a removable floor or other satisfactory device for the safe loading of a recumbent horse. Said ambulance shall also be equipped with a permanently attached and locked box containing drugs solely for the use of the state veterinarians when emergency medication is required.

Amended 2/15/74

Rule 5.32 Admissions (NEW RULE)

No operator shall charge any price for admission to any part of the race track enclosure at which its meeting is conducted or for any goods or services offered for sale by it unless such price has been approved by the Board.

Passed 10/9/73

Harness Rule 5.33 Inspection Report (NEW)

At least 30 days prior to the beginning of any racing meeting, the race track operator shall submit to the Board copies of inspection reports issued by appropriate state or local governmental authorities relating to the condition of the buildings, the health and sanitation of the facilities, and the fire prevention, detection, and supression equipment at the race track at which the racing meeting is to be conducted. Said inspections shall be made no earlier than 45 days prior to the beginning of a racing meeting.

Passed 10/25/73

9.16 AGID (Coggins) Test

All horses must have a negative AGID (Coggins) test to be eligible to race at Illinois tracks. All horses must be tested by the state veterinarians with confirmation from an approved Illinois testing laboratory.

passed 4/13/73

Harness Rule 12.1 - Entries (NEW RULE)

(Note: Existing Rule 12.1 is repealed.)

a. All entries shall be made on forms prescribed by the Board, and all information required on such forms shall be supplied.

b. Entry forms shall be filled out in ink and shall be signed by the owner or his authorized agent, provided that entries may be made by telephone in which case the person receiving the entry shall reduce it to writing on a prescribed form and indicate that the entry was received by telephone.

c. For all non-claiming races, entries shall be made in duplicate. One copy shall be delivered to the racing secretary, and one copy shall be delivered to the state steward.

d. Entries in overnight events shall comply with Rule 12.19.

HARNESS

NEW RULE

12.31 Medical Reasons for Ineligibility-

A trainer or owner shall not enter or start, or cause to be entered or started a horse which:

A. is not in serviceable sound racing condition

B. is a known bleeder

C. has been trachea tubed

D. has been nerved; except that horses that have had a posterior Digital (heel nerve) Neurectomy performed on one or more feet may be permitted to race)

E. has been "nerve blocked," or has been subject of improper practice

F. is not properly plated

G. is blind or whose vision is seriously impaired in both eyes. A horse blind in one eye may start only if the other eye is normal.

passed 7/11/72

Rule 13.1 Workmen's Compensation (AMENDED)

A. Every applicant for a trainer's license at any horse racing meeting licensed by the Illinois Racing Board must elect to be bound by the provisions of the Workmen's Compensation Act of the State of Illinois (Chapter 48, Sections 138.1 through 138.28, Illinois Revised Statutes, 1967) and shall, accordingly, comply with the provisions of section four of said act; and shall, at the time of submitting his application for a trainer's license, attach to said application a certificate of compliance or a certificate of approval from the Industrial Commission of the State of Illinois that said trainer has complied with the provisions of said Workmen's Compensation Act.

B. For the purposes of this rule, a person shall be deemed to be in the service of the applicant and, therefore, an "employee" within the meaning of Chapter 48, Section 138.1 (b) (2), Illinois Revised Statutes, whenever the applicant has the right to control and direct such person, not only as to the result to be accomplished by the work to be performed by such person, but also as to the details and the means by which that result is accomplished; provided that, it is not necessary that the applicant actually direct or control the manner in which the work is performed, if the applicant has the right to do so.

In determining whether an employer-employee relationship exists under this rule, the furnishing of tools and a place to work by the applicant to such person performing the work shall be considered factors characteristic of an employer.

C. If the criteria of this rule are satisfied, an employer-employee relationship shall be deemed to exist, regardless of the description of designation by the parties

themselves, and it shall be of no consequence that the person performing the work is designated as partner, co-adventurer, agent, independent contractor, or the like.

Such employer-employee relationship includes, but is not limited to, persons performing the services of groom, hot walker, and exercise boy.

D. Where a trainer has no employees, and contemplates none, he shall attach an affidavit to this effect with his said application upon a form to be supplied by the Illinois Racing Board. If thereafter, said trainer does become an employer, he shall comply with this rule and supply to the Illinois Racing Board the applicable certificate.

No license will be issued to any trainer unless either one of the certificates, or the affidavit, above mentioned, is attached to said license application.

Rule 13.2 Health Regulations

c. Horses having a positive AGID (Coggins) Test must be removed from the race track under the direction of the state veterinarians.

passed 4/13/73

Rule 13.9 Sick and Deceased Horses

No deceased or sick horse may be removed from the grounds of a licensed race track operator without the prior approval of the state veterinarian's office.

passed 7/11/72

13.10 Firearms

No person, except track security personnel and law enforcement officials while engaged in the performance of their official duties, shall possess or discharge any fire arm within any race track enclosure.

passed 6/11/73

a. At all tracks a photo finish and starting gate must be used. Whenever the judges use a photo to determine the order of finish, it shall be posted for public inspection during that same racing program. Photo finish equipment shall not be acceptable unless a spinner or target is used therewith.

b. The state steward and presiding judge shall review all photo finishes and confirm or correct the decision of the placing judges before a race is declared official.

Rule 14.21 Penalty for Violation of Rules

Any race track operator who violates any provision of the Rules and Regulations of Harness Racing or of the Illinois Harness Racing Act may be fined no more than $5000.00 for each such violation and/or may have said operator's license to conduct a harness racing meeting suspended or revoked.

Passed 8/8/73

Rule 14.22 Stall Availability

A. That applicant for racing dates which is allotted the first meeting for the harness racing season in any year within Cook County, as a condition to its receiving its license to conduct a racing meeting, shall make available to horsemen and their horses the backstretch and racing surface facilities at the race track at which such first meeting is to be conducted no less than three weeks prior to the commencement of such first meeting.

B. That applicant for racing dates which is allotted the first meeting for the harness racing season in any year at a race track more than 150 miles from Cook County, as a condition to its receiving its license to conduct a racing meeting, shall make available to horsemen and their horses the backstretch and racing surface facilities at the race track at which such first meeting is to be conducted no less than six weeks prior to the commencement of such first meeting.

Passed 3/15/74

Rule 16.1I (Addition to rule - see underlined)

I. The starter shall endeavor to get all horses away in position and on gait <u>but no recall shall be had for a breaking horse except as provided in Rule 16.1J5.</u>

Passed 6/12/73

Rule 16.1J5

J. The starter may sound a recall only for the following reasons:

5. When a horse refuses to come to the gate <u>before the gate reaches the pole 1/16 miles before the start</u>.

Passed 6/12/73

Rule 16.1L3

L. A fine not to exceed $100 or suspension from driving not to exceed 15 days, or both, may be applied to any driver, by the starter for:

3. Rushing ahead of the inside or outside win of the gate or "<u>rushing the gate.</u>"

Passed 7/11/72

Rule 25.4A Security Reports (NEW RULE)

Every person in charge of security at each race track shall submit a report each day of all incidents involving arrests and ejections, and all information relating to any criminal conduct, suspected or real, or any violation of the Rules and Regulations of the Illinois Racing Board. Such report shall be submitted to the Illinois Racing Board, the State Steward, and the Chief Investigator of the Illinois Racing Board. At the discretion of the person in charge of security, matters of a confidential nature may be designated confidential and reported separately to the Chief Investigator for investigative purposes.

Passed 10/25/73

Rule 25.19A Inspections and Searches (NEW RULE)

a. The Illinois Racing Board or the state steward investigating for violations of law or the Rules and Regulations of the Board, shall have the power to permit persons authorized by either of them to search the person, or enter and search the stables, rooms, vehicles, or other places within the track enclosure at which a meeting is held, or other tracks or places where horses eligible to race at said race meeting are kept, of all persons licensed by the Board, and of all employees and agents of any race track operator licensed by said Board; and of all vendors who are permitted by said race track operator to sell and distribute their wares and merchandise within the race track enclosure, in order to inspect and examine the personal effects or property on such persons or kept in such stables, rooms, vehicles, or other places as aforesaid. Each of such licensees, in accepting a license, does thereby irrevocably consent to such search as aforesaid and waive and release all claims or possible actions for damages that he may have by virtue of any action taken under this rule. Each employee of a licensed operator, in accepting his employment, and each vendor who is permitted to sell and distribute his merchandise within the race track enclosure, does thereby irrevocably consent to such search as aforesaid and waive and release all claims or possible actions for damages they may have by virtue of any action taken under this rule. Any person who refuses to be searched pursuant to this rule shall have his license revoked.

b. The Illinois Racing Board delegates the authority to conduct inspections and searches, under this rule, to the Chief

Rule 25.19A Inspections and Searches (continued)

Investigator of the Illinois Racing Board and to Special Agents of the Illinois Bureau of Investigation, or other designees of the Department of Law Enforcement assigned, from time to time, to assist the Chief Investigator in his duties.

Rule 25.20 Investigative Authority (NEW RULE)

The Chief Investigator of the Illinois Racing Board and Special Agents of the Illinois Bureau of Investigation, or other designees of the Department of Law Enforcement assigned to assist the Chief Investigator, shall have the authority to conduct investigations concerning all matters within the jurisdiction of the Illinois Racing Board.

Passed 10/25/73

SECTION XXXVIII
TRIFECTA RULES

Passed 5/26/72

Rule 26.30 Trifecta Rules

Each operator shall either print in the daily program or prominently post at all areas where Trifecta wagering is conducted, the following Trifecta conditions:

A. A Trifecta combines three horses in a single race, selecting the three horses that will finish first, second, and third in that race, as officially posted.

B. Trifecta tickets shall be sold in not less than $2 denominations and only from three number issuing machines.

C. The Trifecta is not a "parley" and has no connection with or relation to the win, place or show betting, and will be calculated in an entirely separate pool.

D. Coupled entries and fields are prohibited in Trifecta races.

Rule 26.31 Winning Combinations

If less than three horses finish, payoff shall be made on tickets selecting the actual finishing horses in order, ignoring the balance of the selection.

A. If no ticket is sold on a winning combination of a Trifecta pool, the net pool shall then be apportioned equally between those having tickets selecting the first and second place horses. If no ticket is sold selecting the first and second horse in the Trifecta pool, the net pool shall then be apportioned equally between those having tickets selecting the horse or horses that finished first in the Trifecta race.

B. Should no selection be made as above mentioned, those having selected the second horse for second and the third horse for third with any

other horses, contrary to the win, place and show pool practice, the aggregate number of tickets as mentioned in this paragraph shall divide the net pool and be paid the same pay-off price.

Rule 26.32 Scratched or a Non-Starter

If a horse is scratched or declared a non-starter, no further Trifecta tickets may be issued designating such horse, and all tickets previously issued designating such horse shall be refunded and money deducted from the gross pool.

Rule 26.33 Dead Heat (Amended 3/13/73)

In the case of a dead heat for first place, the winning combinations shall include the first two horses as finishing in either the first or second position and the horse finishing third. In case of a dead heat to place, the winning combinations shall be the horse finishing first and the two horses finishing in a dead heat for place, as finishing in either the second or third position. In case of a dead heat for third, the winning ocmbinations shall be the horse finishing first, the horse finishing second, and the two horses finishing in either the third or fourth position. In all instances of dead heats, the winning combination shall be paid proportionately to their share in the net trifecta pool.

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CONCESSIONAIRE RULES
Passed 10/9/73

Rule 27.1 (Harness)

The term "concessionaire" means an individual, firm, partnership, corporation, trustee or legal representative licensed to operate as a concessionaire at any race track in Illinois.

Rule 27.2 (Harness)

No concessionaire shall operate at any race track in Illinois without a license duly issued by the Board.

Rule 27.3 (Harness)

a. An application for a license to operate as a concessionaire shall be made on forms furnished by the Board and shall be verified.

b. Eight executed copies of the application shall be filed with the Board.

c. At the time of the filing of the application, the applicant shall file copies of all contracts, including all instruments evidencing any indebtedness, between it and the race track operator or other contracting party.

d. If circumstances change or events occur after the application is filed so that the application no longer presents the correct facts, the applicant shall submit an amendment to the section of the application form so affected.

Rule 27.4 (Harness)

All applications for licenses to operate as a concessionaire for each calendar year shall be filed with the Board prior to November 1 of any year. The Board may receive applications

at a subsequent date if unusual need can be proven to the Board's satisfaction.

Rule 27.5

In ruling on applications for licenses to operate as a concessionaire, the Board shall consider the following:

a. the prices and quality of goods offered for sale by the applicant

b. the quality of services performed by the applicant

c. the financial integrity and moral responsibility of the applicant; and

d. the best interests of the public and of racing

Rule 27.6

a. No license to operate as a concessionaire shall be granted to any applicant that has been convicted of the violation of any law of the United States or any State law which provided, as all or part of its penalty, imprisonment in any penal institution; to any applicant against whom there is pending any state or federal criminal charge; to any applicant who is or has been connected with or engaged in the operation of any illegal business; to any person who does not enjoy a general reputation in his community of being an honest, upright, law abiding person.

b. No license to operate as a concessionaire shall be granted to any applicant if any of its directors, officers, or owners of any beneficial interest is subject to any of the disabilities listed in paragraph (a) of this rule.

ıle 27.7

No concessionaire shall charge any price for any goods or services offered for sale by it unless such price has been approved by the Board.

ıle 27.8

Prior to November 1 of any year, all officers, directors, creditors, and substantial owners of any beneficial interest or any concessionaire desiring to operate at any race track within the State of Illinois shall make a written disclosure on forms prescribed by the Board for approval of their participation in racing in the State of Illinois. Said forms shall be submitted under oath as prescribed in the form. A person owning 5 per cent or more of the equity of an applicant to operate as a concessionaire shall be considered a substantial owner for the purposes of this rule. A person extending credit for more than one year or extending credit in excess of $10,000 for less than one year, but more than 30 days, shall be considered a creditor for purposes of this rule.

ıle 27.9

Where a corporation owns 25 per cent or more of the equity of an applicant to operate as a concessionaire, holders of 10 per cent or more of its capital stock shall make written disclosure under these Rules and Regulations on the forms prescribed; provided, however, that this rule shall not apply to any corporation, the securities of which are registered with the Securities and Exchange Commission pursuant to the Securities Act of 1933 and the rules and regulations issued thereunder. Corporations qualifying under this exemption shall file with the Board copies of all papers filed with the Securities and Exchange Commission.

Rule 27.10 (Harness)

Within 10 days after election, appointment, acquisition of substantial ownership, or extending of credit, the Board shall be notified of the identity of each new officer, director, substantial owner, or creditor of a concessionaire. Each new officer, director, substantial owner, or creditor shall make a written disclosure to the Board on forms prescribed by the Board for approval of their participation in racing in Illinois.

Rule 27.11 (Harness)

Imposed on each concessionaire is the duty of obeying the applicable Rules and Regulations imposed by the Board under which the licenses are granted, the Board reserving the right to amend, alter or repeal any rule, regulation or condition herein imposed or to supplement said Rules and Regulations.

Rule 27.12 (Harness)

At the end of each meet, each concessionaire shall prepare and submit to the Board a record of its operations at the track. The report shall include, in such detail as the Board shall require, verified financial statements including:

a. balance sheet; and

b. a statement of profit and loss showing the concessionaire's combined operation for that year; and the results of such operations for each specific racing meet at which the concessionaire conducted business during the year.

Income and expense items shall be shown in such detail as required by the Board. Such report

shall be filed with the Board within 75 days after the end of each individual meet.

Rule 27.13

Licenses to operate as a concessionaire are deemed personal in nature and non-transferable, and will terminate upon a substantial change of ownership of the concessionaire, unless the Board has granted prior approval. The sale or transfer of 25 per cent or more of the equity of a concessionaire shall be considered a substantial change of ownership.

Rule 27.14

The Board may suspend or revoke the license of any concessionaire (a) for violation of any of the provisions of the Horse Racing, Harness Racing, or Quarterhorse Racing Acts; (b) for violation of any of the rules and regulations of the Board; (c) for any cause, which if known to the Board, would have justified the Board in refusing to issue such license; or (d) for any other just cause.

Rule 27.15

Any concessionaire aggrieved by any order of the Board may pursue any remedies before the Board available by statute or rule to any other licensee.

Rule 26.7 Quinella Rules

Each operator shall either print in the daily program or prominently post at all areas where Quinella wagering is conducted, the following Quinella conditions:

A. Positively no exchange of tickets after purchaser thereof has left the sales window.

B. The Quinella is not a parlay and has no connection with or relation to the "Tote" betting. All tickets on the Quinella will be calculated in an entirely separate pool.

C. Selections are to be made by program number and not by handicap rating number. Large numbers appearing on the Quinella ticket are the program numbers of the horse.

D. All tickets shall be retained until the result of the Quinella has been posted.

E. Coupled entries and fields are prohibited in Quinella races.

Amended 6/14/74

Rule 26.9 Winning Quinella Combination

The winning Quinella combination shall be the first two horses to finish the race. The order in which the horses finish is immaterial. All tickets on the Quinella will be calculated in an entirely separate pool.

Amended 6/14/74

Rule 12.26A - Uncoupled Entries

In allowance, handicap and stakes races, any "entry" of separate ownership may be uncoupled with permission of the stewards, provided that such permission shall not be granted when to do so would create more than eight separate betting interests. In no event shall such permission be granted in any race on which quinella, perfecta, exacta, or trifecta wagering is conducted.

Passed 7/12/74

Rule 14.2 - Extra Races

No additional races on a racing date will be permitted without the written approval of the Secretary of the Board, and subject to conditions by the Board.

Amended 7/12/74

Rule 10.3 Claim For Own Account

A. No person shall claim his own horses or cause his own horses to be claimed, directly or indirectly, for his own account.

B. No person shall claim horses owned or trained by his or his trainer's spouse, child, sibling, parent, or in-laws.

Amended 8/13/74

SANITATION RULES

Harness Rule 28.1

The tackrooms and sanitary facilities for backstretch personnel at every race track shall comply with the standards set forth in the Illinois Migrant Labor Camp Law and the revised rules and regulations of the Illinois Department of Public Health where applicable and where local laws affecting the backstretch and its personnel are silent or less stringent than the aforementioned standards.

Harness Rule 28.2

Each sanitary facility, toilet, sink, and shower shall be thoroughly cleaned twice daily. All sanitary facilities shall be maintained in operable condition. Inoperable facilities shall be made operable within 48 hours. The schedule of cleaning and the contractor or supervisory employee by whom such cleaning is to be performed shall be furnished to the Board at least 30 days prior to the commencement of a racing meet.

Harness Rule 28.3

Sanitary facilities shall include shower curtains, mirrors, adequate lighting, and a continuous supply of toilet tissue.

Harness Rule 28.4

Tenants shall be instructed by the association to clean their rooms daily and to have them available for inspection upon demand by an appropriate official of the association, municipality, or a duly appointed representative of the Board.

Sanitation Rules (cont.)

Harness Rule 28.5

Each toilet (closet) shall occupy a separate compartment which shall be equipped with a door, a latch, and a clothes hanger.

Harness Rule 28.6

The door to every toilet room shall be fitted with an effective self-closing device.

Harness Rule 28.7

Shower compartments shall have walls constructed of smooth, noncorrosive, and nonabsorbent waterproof material to a height of not less than 6 feet above the floor.

Harness Rule 28.8

Each association shall have at the stable gate and security office for inspection by proper authorities the following information:

(a) Total number of tackrooms per barn
(b) Total number of persons of each sex per barn occupying such tackrooms, including the names of the occupants
(c) Total number of rooms per barn for feed and equipment

Passed 9/13/74

Rule 5.04A Lease of Race Track Passed 10/25/74

The Board shall not approve any application for racing dates made by an applicant which leases a race track enclosure unless the applicant's lease contains the following provision:

> Lessor and lessee recognize that the Illinois Racing Board, pursuant to statutory authority, may allot a different number of racing days and also different dates and days than those requested by the various applicants to hold horse racing and harness racing meetings submitted to it each year. Accordingly, it is agreed by lessor and lessee with respect to any year for which lessor submits such an application and for which the said Illinois Racing Board awards dates to lessor, that, in the event the dates awarded to lessor are otherwise included within the term of this lease, this lease shall be void and of no effect for the period of such date award and the lessee shall not assert any claim or right hereunder to oppose lessor's right to take possession of the race track and to conduct thereon the meeting for which it has been awarded dates.

No lease existing on the date of adoption of this rule under which the lessee has the unconditional and exclusive right of possession of a race track for a specified term or specified portion of a term and under which lessee is unconditionally obligated to pay substantial rent to lessor shall be required to be amended to conform with this rule.

Rule 14.15 Allocation of Stalls - Passed Oct. 25, 1974

(Old Rule 14.15, Stall Applications, is hereby repealed)

A. No race track operator shall allocate stalls except pursuant to a written stall application and agreement, the form of which shall have been approved by the Board. The racing secretary shall be responsible for stall allocation unless some other official is substituted by the race track operator to perform such function and the Board notified thereof.

B. The racing secretary shall give each applicant written notice specifying whether his application, with respect to each stall requested, has been accepted, denied or placed in a pending status. Such notice shall be given not later than five days prior to the start of the meeting or ten days after receipt of the stall application, whichever shall be last to occur.

Within ten days after initial notification is required by this rule, final action shall be taken and written notice thereof given with respect to any stall application originally placed, in whole or in part, in a pending status.

C. The racing secretary's view of the best interests of racing and of the meeting in question shall govern his actions on stall applications, and he shall be allowed broad discretion in performing this function. Nevertheless:

(1) No action on a stall application shall be based upon -

(a) the race, color, creed, religion, national origin or sex of the applicant, or

(b) an arbitrary or capricious decision, or a decision not related to any reasonable view of the best interests of racing or of the meeting in question.

(2) No stall allocation shall be conditioned upon the payment, transfer or delivery to the racing secretary, or to any other person designated by him, of any money, property or other thing of value or upon the applicant's promise to make such payment, transfer or delivery.

D. Any owner, trainer or other person believing himself to be aggrieved by a violation of this rule may file a written complaint with the Board which shall conduct a hearing with respect to the alleged violation. If the Board determines that such a violation has occurred, it may impose a fine upon the race track operator not to exceed $500 for each violation, may order that stalls be allocated to the complainant and may take such other action as it deems appropriate. In order to facilitate the conduct of inquiries into alleged violations of this rule, the racing secretary shall cause all stall applications, stall agreements, correspondence and other related material to be retained until the close of the meeting or for such longer period as the Board may direct.

E. In passing upon stall applications that are of substantially equal merit, the racing secretary shall give preference to applications submitted with respect to horses owned by Illinois residents.

F. Acceptance of an application for stall space for any horse shall be conditioned upon proof of a negative Coggins test for equine infectious anemia.

26.34 Irregular Wagering Pattern

All trifecta ticket issuing machines shall be locked, and trifecta ticket selling shall stop three minutes before post time. The State Director of Mutuels and the official in charge of the Mutuel Department for the race track operator shall thereupon examine the pattern of wagering in the race and shall confer with respect thereto. If either shall conclude that the wagering pattern is of such an irregular nature as to warrant reasonable concern that illegal or corrupt practices may be intended with respect to the race in question, he shall notify the state steward who shall declare the race off, and all wagers shall be promptly refunded.

With approval of the Board, an additional race, at which trifecta wagering may be permitted, may be scheduled during a subsequent day of racing to replace any race declared off pursuant to this rule.

Passed 11/25/74

26.35 Special Conditions for Trifecta Races

All trifecta races shall meet at least one of the following requirements:

a. a claiming race with a minimum claiming price of at least $10,000.

b. a claiming race with a minimum claiming price of less than $10,000 but which is exceeded by the minimum claiming price of not more than one other claiming race on that day of racing.

c. a race other than a claiming race, the purse for which is exceeded by the purse for not more than two other races on that day of racing.

All trifecta races shall be contested at

Rule 26.5 Sale of Pari-Mutuel Tickets

Only one method of selling pari-mutuel tickets shall be used on individual races during any racing day.

A. No pari-mutuel tickets shall be sold except through regular ticket windows properly designated by signs showing type of tickets sold at that particular window.

B. No pari-mutuel tickets shall be sold on any race prior to 30 minutes before scheduled offtime of that race, with the following exceptions:

1. Daily double tickets may be sold one hour prior to post time of the first race and on days where a double header program has been approved, daily double tickets may be sold on completion of the ninth race of the first racing card. Further, daily double tickets may be sold one and a half hours prior to post time on Saturdays and holidays.

2. Ninth or tenth race trifecta tickets may be sold on completion of the fifth race.

Amended 1/10/75

Rule 1.08

Power of the Secretary to Verify Pleadings

In addition to his other duties as prescribed by statute and by rule, the Secretary of the Illinois Racing Board shall have the power to verify pleadings or motions on behalf of the Board. When the verification relates to the accuracy of maps, books, documents, and papers belonging to the Board or entrusted to its care, the Secretary may verify their accuracy without prior consultation with the Board. In all other cases, the Secretary may verify such pleadings or motions only after being so instructed by the Board.

Passed 1/10/75

Harness Rule 26.5P

Multiple Wagering Pools (New)

Multiple wagering pools on a single racing program shall be subject to the following limitations:

a. One daily double pool shall be permitted.

b. Three other multiple wagering pools (quinella, perfecta, or trifecta) shall be permitted, provided that there shall not be more than one trifecta pool and further provided that if at least one of said three multiple wagering pools is a quinella pool, a fourth multiple wagering pool (which shall be a quinella pool on the second race of the daily double) shall be permitted.

c. Any trifecta pool authorized by the Board pursuant to Harness Rule 26.34 shall be, in addition to all other multiple wagering pools, permitted by this rule.

d. For all multiple wagering pools offered, except the trifecta, a $2.00 ticket shall be available for purchase.

Passed 2/14/75

Rule 10.14 Stewards Excuse Horse

If, in a claiming race, a horse is excused by the stewards, or declared a non-starter, any claim or claims for said horse will be void; but said horse must, on its next start, race in a claiming race for the same price or less. This rule shall apply for a period of 30 days from the date of the race in which the horse was scratched or declared a non-starter.

Amended 3/14/75

Rule 16.1 Cont. (From Page 57) Starting Gate

H. There shall be no recall after the word "go" has been given, and, any horse, regardless of his position, or an accident, shall be deemed a starter from the time he entered into the starter's control, unless dismissed by the starter or declared a non-starter by the stewards.

I. The starter shall endeavor to get all horses away in position and on gait but no recall shall be had for a breaking horse except as provided in Rule 16.1J5.
(Amended 6/11/73)

J. The starter may sound a recall only for the following reasons:

1. A horse scores ahead of the gate.
2. There is interference.
3. A horse has broken equipment.
4. A horse falls before the word "go" is given.
5. When a horse refuses to come to the starting gate on his proper gait before it reaches the pole 1/16 mile before the start.

K. Any horse causing two recalls will be dismissed by the starter.

L. The stewards may declare a horse a non-starter if any of the circumstances set forth in 16.1J are applicable, and, if the starter has not dismissed the horse or ordered a recall.

M. All wagers on any horse dismissed by the starter or declared a non-starter by the stewards shall be refunded except when a consolation payoff is applicable.

N. Drivers may be fined by the starter for failure to come up to position and/or failure to stay in position.

O. A fine not to exceed $100. or suspension from driving not to exceed 15 days, or both, may be applied to any driver, by the starter for:

1. Delaying the start.
2. Failure to obey the starter's instructions.
3. Rushing ahead of the inside or outside wing of the gate or rushing the gate. (Amended 7/11/72)
4. Coming to the starting gate out of position.
5. Crossing over before reaching the starting point.
6. Interference with another driver during the start.
7. Failure to come into position and/or failure to stay in position.

A hearing must be granted before any penalty is imposed.

Amended 3/14/75

Rule #25.5 - Admission To Parts of Premises

Only the following listed persons shall be entitled to enter the stable area of a race track operator: members, officers and employees of the Board who must show their identification cards; management and employees performing duties therein; racing officials; police officers; owners, trainers, grooms, and others performing official duties in the stable area and who possess a valid license from the Board.

Except that the race track operator may authorize the entry of other persons, subject to the following minimum conditions: (1) that such authorized persons be required to sign a daily guest log, and record the time of entry and the time of departure; (2) that such authorized persons be required to indicate the name of the person whom they are visiting.

The race track operator shall adopt such rules and procedures as deemed necessary to protect the security of the stable area and to prevent the entry of unauthorized persons to that portion of the premises.

Any person violating this rule shall be evicted from the licensed premises by the race track operator and thereafter denied admission as a patron or otherwise to any portion of the licensed premises.

~~Passed~~ 3/14/75

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~~Passed~~ 3/14/75

Rule 25.8 State Admissions Tax

The race track operator shall pay to the Board at such time or times as the Board shall prescribe, the sum of 40 cents for each person entering the grounds or enclosure of the race track operator upon a ticket of admission. If tickets are issued for more than one day, then the sum of 40 cents shall be paid for each person using such ticket each day that the same shall be used. Provided that no charge be made on tickets issued to and in the name of directors, officers, partners, agents or employees of the race track operator, or to owners, trainers and drivers and their employees, or to any person or persons entering the grounds for the transaction of business in connection with such race meeting.

A. Pursuant to the above paragraph, the State Director of Mutuels shall direct and supervise the conduct of the admissions department during each race meeting. He shall be empowered to direct the race track operator to adopt, subject to the approval of the Board, procedures, methods and systems as may be deemed necessary to ensure strict compliance with the rules and regulations of the Board.

Amended 3/14/75

Rule 25.16 Turnstiles

All gates for the admission of patrons shall have turnstiles equipped with meters, and such turnstiles must be numbered consecutively or have other means of individual identification. The race track operator shall test the turnstile equipment at the opening of each racing day. Said test is to be made under the supervision and direction of the Board or such agents as the Board may appoint. A daily turnstile reconciliation is to show a beginning reading and final reading of each turnstile, the total admitted, and also a classified breakdown of all types of admittance. The operator must employ methods, subject to the approval of the Board, to record serial numbers and code letters or numbers each day a tax exempt ticket or credential is used for admittance, in order to substantiate all tax exempt admissions.

Amended 3/14/75

Rule 26.3 Pari-Mutuel Rules

For the purpose of pari-mutuel betting, all races are to be considered separate and distinct.

A. Wagering: Wagering shall be prohibited on more than 10 races during the course of a single racing day, unless special permission is granted by the Illinois Racing Board.

B. State Director: The Board shall appoint, for each race meeting, a representative to be known as the State Director of Mutuels to direct and supervise the conduct of the Mutuel Department during each race meeting. The State Director of Mutuels shall be given free access to all of the books, papers and records of the race track operator and to any room or enclosure of the operator at any and all times provided said access is necessary to his direction and supervision of the Mutuel Department.

~~Passed~~ 3/14/75
Amended

C. Duties of Director: The officers and employees of the operator shall give the State Director of Mutuels such information as he may request, provided such information is necessary to his direction and supervision of the Mutuel Department, so that the Board may be assured that the Mutuel Department is being properly and efficiently operated in strict accordance with the rules and regulations of the Board. He shall be empowered to direct the operator to adopt, subject to the approval of the Board, such procedures, methods and systems of operating the Mutuel Department as may be deemed necessary to ensure compliance with the rules and regulations of the Board. He shall report to the Board any failure of the operator to comply with the law or any rules or regulations of the Board.

~~Passed~~ 3/14/75
Amended

Rule 5.34 Lottery Events at Race Tracks (New)

A. No race track operator shall, without the prior approval of the Board, enter into or implement any agreement with the Illinois Lottery Control Board providing for:

1. Selection of the winner of any lottery prize by a method which depends, in whole or in part, upon the results of a race conducted at a licensed meeting, or

2. The conduct of any lottery drawing or the award of any lottery prize at a licensed meeting.

B. Any race track operator seeking Board approval of an agreement with the Illinois Lottery Control Board shall submit a copy of such proposed agreement together with said request and shall supply such other information concerning the proposed lottery event as the Board may require.

Passed 5/9/75

Rule 5.35 Off-Track Betting Agencies of Other States (New)

A. No race track operator shall, without the prior approval of the Board, enter into or implement an agreement with any legally constituted off-track betting agency of any other state providing for pari-mutuel wagering to be conducted in such state on races held at licensed meetings in Illinois.

B. Any race track operator seeking Board approval of an agreement with any legally constituted off-track betting agency of any other state shall submit a copy of the proposed agreement together with such request and shall supply such other information relative to the proposal as the Board may require.

Passed 5/9/75

Rule 25.19 Inspections and Searches

a. The Illinois Racing Board or the state steward investigating for violations of law or the Rules and Regulations of the Board, shall have the power to permit persons authorized by either of them to search the person, or enter and search the stables, rooms, vehicles, or other places within the track enclosure at which a meeting is held, or other tracks or places where horses eligible to race at said race meeting are kept, of all persons licensed by the Board, and of all employees and agents of any race track operator licensed by said Board; and of all vendors who are permitted by said race track operator to sell and distribute their wares and merchandise within the race track enclosure, in order to inspect and examine the personal effects or property on such persons or kept in such stables, rooms, vehicles, or other places as aforesaid. Each of such licensees, in accepting a license, does thereby irrevocably consent to such search as aforesaid and waive and release all claims or possible actions for damages that he may have by virtue of any action taken under this rule. Each employee of a licensed operator, in accepting his employment, and each vendor who is permitted to sell and distribute his merchandise within the race track enclosure, does thereby irrevocably consent to such search as aforesaid and waive and release all claims or possible actions for damages they may have by virtue of any action taken under this rule. Any person who refuses to be searched pursuant to this rule may have his license suspended or revoked.

b. The Illinois Racing Board delegates the authority to conduct inspections and searches, under this rule, to the Chief Investigator of the Illinois Racing Board and to Special Agents of the Illinois Bureau of Investigation, or other designees of the Department of Law Enforcement assigned, from time to time, to assist the Chief Investigator in his duties.

Amended 5/9/75

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Amended 5/9/75

Rule 26.35 Special Conditions for Trifecta Races

All trifecta races shall meet at least one of the following requirements:

a. a claiming race with a minimum claiming price of at least $10,000.

b. a claiming race with a minimum claiming price of less than $10,000 but which is exceeded by the minimum claiming price of not more than one other claiming race on that racing program.

c. a race other than a claiming race, the purse for which is exceeded by the purse for not more than two other races on that racing program.

All trifecta races shall be contested at a distance of one mile.

Amended 5/9/75

Rule 5.04B (New)
"Demolition, Construction, Alteration or Addition to Race Trac

Before demolition, construction, alteration of, or addition to (provided the cost of such alteration or addition is in excess of $10,000.00) the buildings, seating stands, barns, dormitories, or other structures on the grounds used, or to be used, by a race track operator to conduct a meeting with pari-mutuel wagering, the race track operator or the owner of the premises, as the case may be, shall file with the Board a description thereof with appropriate plans and specifications and an estimate of the cost thereof.

If the Board shall determine that the location, construction or design of such structures are not suitable for the efficient conduct of pari-mutuel wagering, or of racing, or the health, safety, and welfare of backstretch personnel or horses, the Board shall advise, in reasonable detail, the race track operator, or the owner of the premises, of the basis for such determination. The Board may retain an independent architect to advise it with respect to any such construction, alteration or addition.

Such demolition, construction, alteration or addition shall not be accomplished without the Board's written approval.

The Board may, in its discretion, deny or revoke a license to conduct a racing meet, or impose a civil penalty, for violation of this rule.

Passed 6/13/75

Rule 10.32 Charity Meetings

Consecutive meetings held at the same track, whether charity or regular, will be considered as one meeting as far as claiming privileges are concerned.

Amended 7/14/75

Rule 10.1 Claiming Races

In claiming races, any horse is subject to claim for his entered price by any owner who has started a horse at the meeting in a race for which a purse is offered, including the race in which the claim is made or by any person who holds a Claiming Authorization issued by the Board.

Amended 9/19/75

Rule 10.33 Claiming Authorization

(a) The Board may issue a Claiming Authorization to any person who makes application therefore on forms prescribed for that purpose and who:

(1) Is a resident of Illinois and has been such for a continuous period of at least one year immediately preceding the application for Claiming Authorization;

(2) Meets all requirements for issuance of an owner's license except that the applicant need not own a horse or have previous experience in racing;

(3) Has an agreement with a licensed trainer to take charge of, care for and train any horse claimed pursuant to the Claiming Authorization. The existence of such an agreement shall be evidenced by the trainer's signature on the application for Claiming Authorization. The holder of a Claiming Authorization and the trainer shall each promptly notify the stewards in writing if such agreement is terminated before a horse is successfully claimed.

(b) A Claiming Authorization shall be valid for 60 days from date of issue, or until the holder thereof becomes entitled to claim as an owner, or successfully claims a horse, whichever first occurs; provided, however, that no Claiming Authorization shall be valid to effect a claim during the first six racing programs of any meeting.

(c) A fee of $10 shall be payable to the Board by the applicant prior to issuance of a Claiming Authorization. The holder of a Claiming Authorization shall not, by virtue thereof, be entitled to admission to the backstretch, or to admission to the grandstand, clubhouse or other spectator facility at prices less than those charged the general public or to exemption from admissions tax.

Rule 12.21 Entry Box and Drawing of Horses

The entry box shall be opened by the state steward, or his designated representative, at the advertised time. The state steward will be responsible to see that at least one horseman or an official representative of the horsemen is present. Upon request of the racing secretary, 30 minutes prior to the opening of the box, the state steward, or his designated representative, may inform the racing secretary as to the number of horses declared in.

No owner or agent for a horse with a declaration in the entry box shall be denied the privilege of being present. Under the supervision of the state steward, all entries shall be listed, the eligibility checked, preference ascertained, starters selected and post positions drawn. If it is necessary to reopen any race, public announcement shall be made at least twice and the box reopened at a definite time.

Such drawings shall be final unless the state steward determines that a horse properly declared was omitted from the race through an error on the part of the track or its agent or employee in which event the race may be redrawn. This provision for a redrawing shall not apply unless the error is discovered prior to the publication of the official program.

Amended 9/19/75

Rule 26.5E Sale of Pari-Mutuel Tickets

The state steward shall lock all pari-mutuel ticket issuing machines and sound the off bell when the horses reach the official starting point, but not later than the official post time, except that the state steward may delay the locking of the ticket selling machines in emergency circumstances.

Amended 9/19/75

Rule 2.02 Application for License

Each person desiring a license from the Board shall make application on forms furnished by the Board and shall provide such other information as may be required by the Board or an agent of the Board.

Amended 2/13/76

Rule 2.07I Blacksmiths and Veterinarians

No person licensed by the Board as a veterinarian or as a blacksmith shall be licensed as an owner or trainer.

Added 2/13/76

Rule 9.16 AGID (Coggins Test)

Horses racing in Illinois must have a negative Agar-Gel immunodiffusion test (Coggins test) done during the calendar year in which they are racing. A negative test certificate from a laboratory, approved by the U.S. Department of Agriculture, must be on file with the Racing Secretary. Trainers that start a horse without having a negative test certificate on file with the Racing Secretary may be fined. The state veterinarian may draw blood from any horse on the grounds for the purpose of conducting the Agar-Gel immunodiffusion (Coggins) test.

Amended 2/13/76

Rule 12.29 Preference

Preference shall be given in all overnight events according to a horse's last previous purse race during the current year. The preference date on a horse that has drawn to race and then scratched is the date of the race from which he was scratched. When a horse is racing for the first time in the current year, the date of the first declaration shall be considered its last race and preference applied accordingly.

A. Provided, however, that where an overnight race has been re-opened because it did not fill, all eligible horses declared into the race prior to the re-opening shall receive preference, irrespective of the actual preference dates.

Amended 2/13/76

Rule 15.1 Special Purse and Reward Fund Account

A. Monies retained in the Special Purse and Reward Fund Account of each organizational licensee may only be allocated at a level that has been given prior approval in writing by the Secretary of the Board, subject to conditions set by the Board.

B. The Special Purse and Reward Fund Account of each organizational licensee shall be maintained in a general ledger account, separate and distinct from all other accounts of said licensee. The records of said account shall be available for audit and inspection by the Board.

Amended 2/13/76

Rule 27.5 Concessionaire Rules

No person shall conduct or operate a concession, upon any race track grounds within the State of Illinois during any racing meet without first obtaining an occupation license from the Board.

Each application for an occupation license as a concessionaire shall be on forms prescribed by the Board. If the applicant is a corporation, the application shall disclose, among other things, the names and addresses of its directors, officers, and owners of substantial beneficial interest and shall state whether or not any such individuals (1) have been convicted of a crime, (2) have been found guilty of a violation of the Illinois Horse Racing Act of 1975 or the rules and regulations of the Board, and (3) or have been suspended from operating as a concessionaire or denied a permit to operate as a concessionaire at any race track in any other state. A person owning 5% or more of the equity of an applicant shall be considered a substantial owner for the purposes of these rules.

Passed 2/13/76

Rule 27.6 Concessionaire Rules

The Board may, in its discretion, refuse an occupation license to any person seeking to operate as a concessionaire:

1. Who has been convicted of a crime;

2. Who is unqualified to perform the duties required of such applicant;

3. Who fails to disclose or states falsely any information called for in the application;

4. Who has been found guilty of a violation of this Act or of the rules and regulations of the Board; or

5. Whose license or permit has been suspended, revoked or denied for just cause in any other state.

Passed 2/13/76

Rule 5.36 Race Track Surface

At each race track where both thoroughbred and harness racing dates have been allocated for any one year, the owner of the race track shall, at the conclusion of one type of meet, promptly convert the racing surface for use in the other type of racing, except where a racing surface is being used for training of the first type in which case a delay of conversion will be permitted. Whenever the thoroughbred cushion is removed, the owner of the race track shall protect any portion which is to be re-used from the elements.

Passed 3/19/76

Rule 4.08 Breeder

Old Rule 4.08 repealed 4/30/76
New Rule 4.08 passed 4/30/76

A breeder is the owner of a mare at the time of conception.

RACE TRACK IMPROVEMENT FUND RULES

Harness Rule 29.01
"Definitions"

For the purpose of the Race Track Improvement Fund Rules only:

(1) "Applicant" means an organization licensee.

(2) "Application" means an original and 25 copies of a written request to the Illinois Racing Board, on a form prescribed and furnished by the Board, for disbursement from the Illinois Race Track Improvement Fund.

(3) "Fund" means the Illinois Race Track Improvement Fund.

(4) "Moneys to be distributed from the Fund" means:

(a) amounts expended at a race track for new buildings to be used in the operation of such race track or for permanent improvements or betterments made to increase the value of the race track property.

(b) amounts expended for the required restoration of depreciated property used in the operation of a race track.

(c) in general, amounts included in (a) and (b) above include amounts paid or incurred to:

(i) add to the value of the race track property, or

(ii) substantially prolong the useful life of such property, or

(iii) adapt such property to a new and different use in connection with the operation of a race track.

FOR INFORMATION ONLY - NOT A RULE

"Form of Application"

Applications shall contain at least the following information:

(1) name of organization licensee and race track.

(2) details of the proposed project and the estimated costs.

(3) copies of all contracts or written estimates in connection with such project.

(4) a description of actual or proposed financing, including copies of all documents.

(5) a payment schedule supported by a work schedule.

Harness Rule 29.02

The Board will consider applications for monies to be distributed from the Fund for projects defined in Rule 29.01(4), or for the payment of the cost of amortization of debt contracted with the approval of the Board for any or all such purposes. The Board will not consider applications for the payment of the cost of amortization of debt unless the applicant receives written approval from the Board prior to incurring said debt.

Harness Rule 29.03
"Ordinary Repairs & Maintenance"

Moneys may not be distributed from the Fund for amounts paid or incurred for ordinary repairs and maintenance.

Harness Rule 29.04
"Licensed Architect or Engineer"

The plans and specifications for construction and improvement projects of $50,000 or more shall be prepared by a licensed architect or licensed engineer or such other persons as may be approved by the Board. The Illinois Racing Board recognizes that the nature of specific projects may dictate that the above guidelines be either waived or lowered. In such cases the Board reserves the right to determine whether the services of a supervising architect or engineer is required.

Harness Rule 29.05
"Payments"

After initial approval of the application, moneys in the Illinois Race Track Improvement Fund credited to an organization licensee shall be distributed, in whole or in part, to the organization licensee upon order of the Illinois Racing Board to the State Treasurer, after the following conditions have been satisfied.

1) Organization licensee has filed with the Illinois Racing Board:

   (a) Executed duplicate of the contract for the improvement entered into by the organization licensee, and

   (b) Evidence of builders risk insurance (including vandalism and malicious mischief) in the amount of 100% of the insurable value of the whole of the improvement to be made

2) Prior to the Illinois Racing Board ordering distribution, the Illinois Racing Board shall be furnished with a true and correct statement under oath, by the contractor, setting forth the names of all contractors, subcontractors, material men, and any person, firm or corporation who is to furnish labor and/or materials, the nature of the work

Harness Rule 29.05 (continued)

o be done and the amount to be paid therefore, pursuant to the Mechanic Lien Laws of the State of Illinois.

A single payment or periodic payments requested by the applicant, and approved by the Illinois Racing Board, will be ordered by the Illinois Racing Board only upon a "request for pay-out" furnished by the architect, or engineer or such other persons as may be approved by the Board on behalf of the organization licensee, where required, pursuant to Rule 29.04, otherwise by the organization licensee, naming therein the contractor performing the work, as to work performed and materials delivered and suitably stored at the building site and accompanied by the usual and customary contractor's affidavit.

The Illinois Racing Board shall be furnished with Waivers of Lien, partial or final, including waivers from each sub-contractor or dealer shown in the contractor's affidavit to be furnished.

Retentions provided for within the contract entered into for the performance of the work shall be withheld from periodic payment and ordered paid by the Illinois Racing Board to the organization licensee, upon satisfactory completion of the improvement to be made.

Harness Rule 29.06
(Harness Rule 5.04B not applicable)

Board approval pursuant to Harness Rule 5.04B shall not constitute approval of an application for disbursement from the Illinois Race Track Improvement Fund.

Harness Rule 29.07
"Disclosure"

The Board may require disclosure of the legal and beneficial owners of vendors or contractors.

Rule 5.37 Reporting of Horsemen's Purse Account

Each organization licensee shall weekly submit to the Board Secretary a report reflecting the current status of the "Horsemen's Purse Account." Said report shall be submitted on forms provided by the Board.

Passed 8/21/76

Rule 14.23 Limitation on Purse Reductions

No purse for any race may be reduced after said purse has been advertised, published or reflected in the conditions unless notice of said reduction is promptly given to the state steward, promptly posted on the public bulletin board in the racing secretary's office for the inspection of owners, trainers, and the public, and listed in the overnight sheets.

No purse for any race may be reduced after the first entry is made for any such race.

Passed 8/21/76

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